

Overview and Scrutiny Committee

TUESDAY, 22ND JANUARY, 2013 at 18:30 HRS - CIVIC CENTRE, HIGH ROAD, WOOD GREEN, LONDON N22 8LE.

MEMBERS: Councillors Rice (Chair), Winskill (Vice-Chair), Adamou, McNamara and Newton

Co-Optees: Ms Y. Denny (Church of England representative),¹ Catholic Diocese vacancy, Mr E. Reid (Parent Governor), Mrs M. Ezeji (Parent Governor), Ms H. Kania (LINK non-voting Representative)

AGENDA

1. APOLOGIES FOR ABSENCE

2. URGENT BUSINESS

The Chair will consider the admission of any late items of urgent business. (Late items will be considered under the agenda item where they appear. New items will be dealt with at item below).

3. DECLARATIONS OF INTEREST

A member with a disclosable pecuniary interest or a prejudicial interest in a matter who attends a meeting of the authority at which the matter is considered:

- (i) must disclose the interest at the start of the meeting or when the interest becomes apparent, and
- (ii) may not participate in any discussion or vote on the matter and must withdraw from the meeting room.

A member who discloses at a meeting a disclosable pecuniary interest which is not registered in the Register of Members' Interests or the subject of a pending notification must notify the Monitoring Officer of the interest within 28 days of the disclosure.

Disclosable pecuniary interests, personal interests and prejudicial interests are defined at Paragraphs 5-7 and Appendix A of the Members' Code of Conduct

4. DEPUTATIONS/PETITIONS/PRESENTATIONS/QUESTIONS

To consider any requests received in accordance with Part 4, Section B, paragraph 29 of the Council's constitution.

5. CABINET MEMBER QUESTIONS - CABINET MEMBER FOR FINANCE AND CARBON REDUCTION

6. BUDGET SCRUTINY - APPROVAL OF PANEL / OSC BUDGET SCRUTINY RECOMMENDATIONS

To receive a verbal update on the Financial Settlement.

Members are asked to agree and approve recommendations of the Panels and OSC for referral to Cabinet for consideration. **TO FOLLOW**

7. FUNDING OF THE VOLUNTARY SECTOR IN HARINGEY (PAGES 1 - 26)

To note and comment on the contents of the report.

8. REVIEW UPDATE - CLUSTERING OF BETTING SHOPS (PAGES 27 - 40)

To receive an update on the Scrutiny review of clustering in betting shops in Haringey.

Members are asked to comment on the progress of the implementation of recommendations.

9. OSC PROJECT UPDATE

To receive a verbal update on the Welfare report project and to agree any next steps.

10. TREASURY MANAGEMENT STRATEGY STATEMENT (PAGES 41 - 68)

To scrutinise the Draft Treasury Management Strategy Statement prior to adoption by Full Council in accordance with the Council's Constitution (Part 4, Section 1).

11. SCRUTINY PANEL REPORT BACK

To receive an update on the work of the Scrutiny Panels and to approve any recommendations for referral. **TO FOLLOW**

12. FORWARD PLAN (PAGES 69 - 86)

To note the Forward Plan and highlight any areas the OSC may wish to consider for pre-decision scrutiny as part of its' scheduled meetings calendar.

13. BUDGET SCRUTINY PROCESS REVIEW' (PAGES 87 - 92)

To comment on the Budget Scrutiny process (as defined in the Overview & Scrutiny Committee protocol) and make any suggestions for improvement.

14. OSC WORK PROGRAMME (PAGES 93 - 94)

To discuss and agree the next steps of the Overview & Scrutiny Committee work programme.

15. AREA COMMITTEE REPORT BACK

To receive feedback from Area Committees.

16. NEW ITEMS OF URGENT BUSINESS

17. MINUTES (PAGES 95 - 114)

18. FUTURE MEETINGS

Tuesday 12 March 2013
Monday 29 April 2013

19. SCRUTINY COMMITTEE ACTIONS REQUESTED

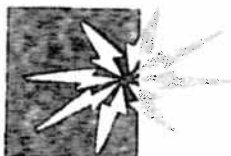
To note the actions completed since the last meeting. ***TO FOLLOW***

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Monday 14 January 2013

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Haringey Council

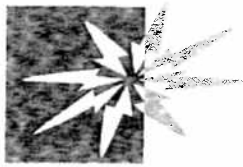
Report for:	Overview & Scrutiny Committee	Item Number:	
Title:	Funding of the Voluntary Sector in Haringey		
Report Authorised by:	Mun Thong Phung, Director Adult and Housing Services <i>MTP</i>		
Lead Officer:	Lisa Redfern, Deputy Director, Adult and Community Services		
Ward(s) affected: All	Report for: Non Key Decision		

1. Describe the Issue under consideration

- 1.1 The Voluntary and Community Sector in Haringey have an important role to play in helping the Council deliver against its key priorities. Over the last two years the main activities have been delivery of the Voluntary Sector Strategy, the Voluntary Sector Commissioning and Funding Framework, Review of infrastructure support to the sector, and delivery of the Investment Fund.
- 1.2 The Council now has a Voluntary Sector Strategy (2011-2016) and Voluntary Sector Commissioning and Funding Framework. Both were considered by Overview & Scrutiny Committee and agreed at the Council's Cabinet in December 2011. The Funding Framework set out how the Council will deliver on the Strategy in order to achieve the best possible outcomes for residents in Haringey.
- 1.3 This report provides an update to Overview and Scrutiny about the Council's progress in implementing the Strategy.

2. Cabinet Member introduction

- 2.1 Haringey's Voluntary Sector Strategy has given the Council and its voluntary and community sector colleagues an opportunity to work together on the matters that really count and that really concern us.



I see the voluntary sector as a key partner working with us to deliver our core priorities: unemployment; and delivering health and well-being; empowering communities; and working with young people to lead effective valued lives. The voluntary sector will also be a critical partner in our major work regenerating Tottenham.

- 2.2 It is important to acknowledge that Haringey Council highly values the work of its Voluntary Sector and its role in delivering better services and outcomes for Haringey's residents. It is vital that the Council continues to encourage and support the sector to become a strong strategic delivery partner; one that is able to deliver high quality, effective services against our key priorities.
- 2.3 This year we have implemented the Haringey Investment Fund, which saw the Council move from 'core grant' funding of services to the commissioning of projects that support the Health & Wellbeing strategy outcomes. We had over 70 applications for the Investment Fund, and 24 projects were successful. Funding for these began in September 2012 as a result.

3. Recommendations

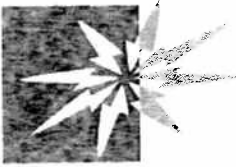
- 3.1 That Overview & Scrutiny note the contents of this report.

4. Alternative options considered

- 4.1 Not applicable

5. Background information

- 5.1 It is estimated that the total number of Voluntary Sector Organisations in Haringey is in the region of 700. Many of Haringey's VSOs can be described as micro or small, with an income of less than £100k. A number of VSOs in the borough have large incomes and employ a significant number of people.
- 5.2 Over the years, the now ceased main Core Grant Programme funded less than 6% of VSOs in the borough although a greater number accessed smaller pots of one-off funding through initiatives such as Neighbourhood Forums (now Area Committees) and various other directorate-led small grants rounds.
- 5.3 Voluntary Sector Strategy
 - 5.3.1 There was a need for a strategic commissioning approach to be developed in relation to the Voluntary Sector to ensure greater coherence in the way services are commissioned and to ensure that Haringey procured its services from 'effective' voluntary sector organisations. To this end, the Voluntary Sector Strategy and the Commissioning and Funding Framework was developed and implemented in 2011.



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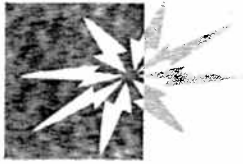
5.3.2 The Strategy and Commissioning and Funding Framework intends to transform the way the Council works with the voluntary sector, maximising delivery in accordance with the Council's key priorities and outcomes, achieving added value for money and high quality, effective services for residents. The Strategy highlights the contribution that Voluntary Sector organisations can make in delivering the priorities for the borough against the five key outputs of the Strategy which are:

- *Outcome 1: A commissioning and funding framework that is needs-led and offers value for money:* by establishing a robust financial relationship alongside innovative ways of funding services, supported by quality assurance and monitoring
- *Outcome 2: A strong Voluntary Sector infrastructure:* by developing a strategic structure that supports the work of the Voluntary Sector
- *Outcome 3: An effective Voluntary Sector voice:* by ensuring that the needs and views of the Voluntary Sector are effectively championed
- *Outcome 4: People and communities empowered to take control of their lives:* To support the development of valuable social networks, recognising the key role the Voluntary Sector has to play, to deliver high quality support and services
- *Outcome 5: Fairer access to assets and community spaces:* by providing support to enable Voluntary Sector organisations to access and to share high quality premises

5.4 Commissioning and Funding Framework

5.4.1 Outcome 1 of the Voluntary Sector Strategy required the Council to put in place a Commissioning and Funding Framework which set out the principles for how the Council would work with, support and commission from the Voluntary Sector in the future. The Commissioning and Funding Framework was underpinned by the following guiding principles:

- *Contribution to Council priorities:* Funding should contribute towards the achievement of Council priorities, fulfil a legislative requirement or build capacity in the Voluntary Sector
- *Service/Outcome basis:* Funding should relate to an activity or service to be provided. Monitoring and evaluation should be based on delivery of quantifiable outcomes, established at the start of funding.

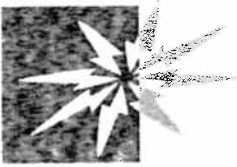


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- *Fair, open and transparent process:* The funding process should be open, fair and transparent
- *Proportionate funding arrangements:* The funding process should involve an element of proportionality with less onerous processes and requirements for low values of funding, to reflect the lower risk involved

Building on the principles already contained in the Haringey Compact and following consideration of practices adopted in other authorities, funding will be split between strategic commissioning and small grants

- *Commissioning* will be Directorate-led following the development of Directorate Commissioning Briefs. Where necessary, joint commissioning would take place. When setting out commissioning intentions, there will be an emphasis on working with local organisations in Haringey
- *Small grants scheme (up to £5,000):* In order to continue to support small organisations, a small grants scheme will accompany the commissioning process. The grants will be allocated to new innovative projects, one-off purchases or development investment. Bids will be invited for small funding allocations with proportionate application requirements and monitoring arrangements
- *Longer term funding:* In order to promote stability and certainty, funding should be agreed for longer time periods where it represents good value for money to do so. There is an expectation that commissioning will result in funding being agreed for three years. However, the length of funding will ultimately be determined by the needs of the service or outcome, as set out in the Directorate Commissioning Brief
- *Full cost recovery:* When appropriate Voluntary Sector organisations should aim to recover the full cost of their funded activities by including a proportion of their overheads. This should eventually eliminate the need for 'core cost' funding and encourage sustainability
- *Reserves:* Voluntary Sector organisations should aim to keep a minimum level of reserves, in line with Charity Commission guidelines and their own reserves policy
- *Partnership working:* A dynamic and innovative response is needed to address the challenges faced in providing both public and voluntary services. Opportunities for collaborative projects, sharing of resources or partnership working should be developed where possible in order to make best use of limited resources



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- *Value for money:* Funded organisations should demonstrate that good value for money is offered. This could be demonstrated through developing a clear strategy for maximising income from other sources and reducing the reliance on Council funding. Equally, value for money could effectively be built into contracts funding agreements as it should be assumed that no inflationary increments will be available during the life of the contract

5.5 Overview of current funding into the sector

5.5.1 In 2012/13 the Council commissioned Voluntary Sector organisations to a value of approximately £9.6 million. Details of organisations who receive Council funding are attached at Appendix A.

5.6 Activity in 2012

5.6.1 *One Borough One Future Fund*

The One Borough One Future Fund of £1.5pa million was established in February 2012 by Haringey Council to challenge the whole borough to identify innovative approaches to Council services, operate more efficiently, and focus on delivering the best outcomes for our community. Applicants were required to demonstrate how their proposed project would:

- Reduce inequality
- Meet needs which aren't currently being met
- Offer a more efficient approach to deliver Council objectives
- Put the needs of residents at the heart of the process
- Offer value for money
- Be sustainable and self-sufficient

287 applications were received of which 17 were shortlisted to develop up their proposals at Phase 2. 6 finalists were selected to present at the Innovation Final Pitch Event in front of a panel of experts. At Cabinet on 18th December 2012, the award of funding was as follows:

- Family Action for a sum of £299,455
- Quaker Social Action for a sum of up to £285,540
- Carbon Management, LBH, for a sum of up to £45,000
- Women Like Us and Community Development Foundation for a sum of up to £20,000 for a feasibility study
- WorkLife for a sum of up to £18,750 for a feasibility study.

5.6.2 *Haringey Voluntary Sector Investment Fund*

The Council ceased its Core Grants programme in 2012. The Core Grants Programme had historically provided funding to a small number of voluntary sector groups, making a contribution to 'core costs' such as administration, management, rent and utilities – overhead costs. Most of the groups in receipt



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of this funding had received it over many years, in some instances since the 1980's. The value of the grant was not based on a formula. The amount agreed was based either on the amount requested by the organisations at the time of the initial application or, in more recent years, the amount available to the Council for investment.

A key feature of the Commissioning and Funding Framework is the principle of 'full cost recovery', whereby, when making applications for funding, groups should include a proportion of their overheads.

In 2011, the Council took the decision to overhaul its main grants programme and develop a rolling three year investment funding programme of £820k pa aimed at encouraging organisations to be less reliant on council funding with a focus on becoming more entrepreneurial, innovative and sustainable local organisations to help meet the following outcomes, which are in line with the Council's priorities:

Health and Wellbeing Strategy outcomes:

- Give every child the best start in life
- A reduced gap in life expectancy
- Improved mental health and wellbeing

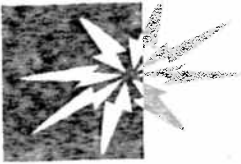
Voluntary Sector Strategy outcomes:

- *People and communities empowered to take control of their lives:* To support the development of valuable social networks, recognising the key role the Voluntary Sector has to play, to deliver high quality support and services
- *Fairer access to assets and community spaces:* by providing support to enable Voluntary Sector organisations to access and to share high quality premises

Organisations were able to submit bids of up to £50k pa for up to three years against set themes and specific outcomes and outcome criteria. 75 applications were received. Of these, 24 were awarded funding. A complete list of successful applicants to the first funding round together with their project descriptions is available at Appendix B.

5.6.3 Infrastructure and Capacity Building support to the Voluntary Sector

In February 2012 the Council undertook a review of infrastructure and capacity building support to the voluntary sector to satisfy itself that it has an appropriate voluntary sector infrastructure and support mechanism in place that supports, capacity builds and helps create and develop a strong Voluntary Sector. The Council also wants to ensure that agency providing this should also be able to demonstrate added value for money to the Council.



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The Council currently funds HAVCO (Haringey Association of Voluntary and Community Organisations) to provide this service. HAVCO have recently appointed a new chief executive, who is reviewing the service offer to voluntary sector organisations. An emphasis on collaboration between organisations is key to the future of the sector. This might include for example collaborating on shared services, such as back-office costs, through to consortia arrangements.

HAVCO have been successful in 2012 in securing Transforming Local Infrastructure funding to Consortium for organisations to work in partnership to bid for competitive tenders. HAVCO is hosting the management and support elements of the Consortium during the initial 18 month set up period.

The new consortium, called Together North London, is currently incorporating as a company limited by guarantee with a shadow board including representation from a wide range of key third sector organisations. Together North London will be identifying tendering opportunities and connecting with relevant third sector agencies to plan collective responses to these from January 2013 onwards.

Together North London will be launching the new consortium formally at the end of April 2013, when they will be hoping to have a wider dialogue with the sector around commissioning and how organisations respond collectively to future opportunities.

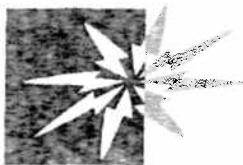
HAVCO are commissioned by the Council to provide a range of support to organisations including:

- *Funding Advice* - free advice, information and support on funding.
- *Paid-For services* - new consultancy services providing bespoke support organisations,
- *Partnership and Procurement* - The partnership and procurement service helps local voluntary and community organisations work more effectively in collaboration and manage...
- *Quality Programme* - Free advice about starting and implementing quality assessment in your organisation which can lead to an accredited quality award
- *Haringey Volunteer Centre* - promoting and supporting the development of volunteering in the London Borough of Haringey.
- *Training* - a range of training is available throughout the year.

5.6.4 Funding of Legal, Information & Advice Services and Advocacy in Haringey

The Council currently funds organisations who provide information, advice (including legal advice) and advocacy services just over £2 million per annum.

However, this funding has been historically disparate. The Council is proposing to review this sector in 2013/14 to ensure a coherent approach to the



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commissioning of advice services as a whole. In some instances, this historic arrangement has previously resulted in duplication of services.

With Council budgetary pressures, external pressures of the current economic climate and the Welfare Reform Act coming into force in 2013, it has been acknowledged that there is an urgent need to strategically reshape the provision of commissioned legal and advice services to address the needs of Haringey's residents over the coming years. This will also serve to maximise the Council's value for money.

It is being proposed that during 2013/14 the Council's legal, information & advice and advocacy funding streams are amalgamated to rationalise commissioned service provision across the borough, with a long-term view to strategically reshape services to address priority areas of need and commission a new three year Legal, Information and Advice service from April 2014.

5.6.5 Healthwatch Haringey

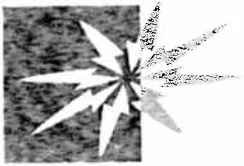
The Health and Social Care Act 2012 (H&SC Act 2012) abolishes LINKs (Local Involvement Network) and introduces Local Healthwatch, and a national body – Healthwatch England (HWE), to provide guidance to the Local Healthwatch. Local authorities have statutory responsibilities for setting up Local Healthwatch bodies and monitoring their work. Local authorities will also have responsibilities for contracting with organisations to support Local Healthwatch. Healthwatch needs to be established by 1st April 2013

It is noted that key Healthwatch functions include information, advice and signposting, as well as advocacy. The review outlined in 5.6.4 above will pay due regard to the progress of Healthwatch from 1st April 2013 in delivering its statutory functions, to ensure other organisations delivering these functions complement rather than duplicate Healthwatch services.

5.6.6 Haringey Council's contribution to the London Council's Grants Programme

London Councils is one of the largest funders of the voluntary and community sector in London providing funding to more than 400 organisations. Their funding is made up of contributions from each London Borough on a per capita basis. For 2012/13 Haringey's contribution was around £330k. Each Borough has a nominated Councillor to the Committee that agrees the funding distribution. Applications for the next two-year funding cycle commences in April 2013.

The money from the London Council's grants programme will be used to commission specific services rather than to fund organisations. These services will be London-wide initiatives which would be difficult for individual boroughs or small groups of councils to provide on their own.



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5.6.7 Concluding remarks

5.6.8 In 2011 and through out 2012, the Council in partnership with the voluntary sector in Haringey, have successfully introduced the Voluntary Sector Strategy 2011-2016 and implemented the Voluntary Sector Commissioning and Funding Framework.

5.6.9 In 2013, we will be setting up a Voluntary Sector Review Board to steer and further develop the sector, to ensure that current and future services provided by the sector are delivering good outcomes for Haringey residents and represent good value for money.

6. **Comments of the Chief Finance Officer and financial implications**

6.1 There are no direct financial implications arising from this review report. However delivering value for money services will need to be a key consideration in the future funding of services from voluntary sector organisations, including ensuring robust and relevant activity information that demonstrates good outcomes to Haringey residents are being delivered.

7. **Head of Legal Services and legal implications**

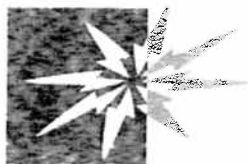
7.1 This Reviewing Report currently raises no new legal implications concerning the matters set out. The report does raise a future plan to establish a Healthwatch by April 2013 and review and reshape the current funding and commissioning of community legal, information & advice, and advocacy services in Haringey, to address priority areas of need, which would be commissioned over a three year period, intended to start in April 2014. As part of the establishment of the Healthwatch and the reviewing process of the community legal, information & advice, and advocacy services further legal comment may be required. The report confirms the plan in 2013 to set up a Voluntary Sector Review Board to monitor and evaluate the current and future services provided by the voluntary sector.

8. **Equalities and Community Cohesion Comments**

8.1 Equalities Impact Assessment are available at:
www.haringey.gov.uk/index/community_and_leisure/voluntary-sector/voluntary_sector_strategy.htm

9. **Head of Procurement Comments**

9.1 Report for information only.



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10. Policy Implication

- 10.1 This report should be read in conjunction with Haringey Council's Voluntary Sector Strategy, 2011-2016; and the Voluntary Sector Commissioning and Funding Framework.

11. Reasons for Decision

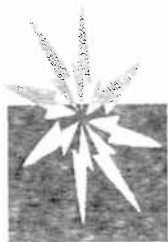
- 11.1 Report for information only.

12. Use of Appendices

- 12.1 Appendix A – Voluntary Sector organisations receiving Council funding in 2012/13; and
12.2 Appendix B – Haringey Voluntary Sector Investment Fund list of successful applicants with project descriptions.

13. Local Government (Access to Information) Act 1985

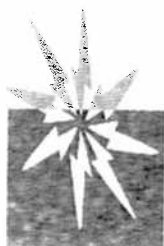
- 13.1 This report is not restricted. It does not contain exempt information relating to the financial or business affairs of any particular person/organisation (including the authority holding that information).



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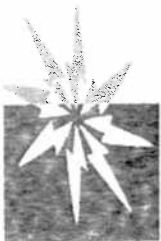
Appendix A - Voluntary Sector organisations receiving Council funding in 2012/13

Name of organisation	Organisation/Service - Short Description
A Brighter Future Community Interest Company	Aiming to empower individuals and communities with skills and confidence to take control of their lives and build sustainable businesses for the future
Action for Kids	Charity supporting vulnerable and neglected children, through the provision of services, including advice and support
African Caribbean Day Nursery	Nursery services for children
Afro International Theatre Productions	Providing education training including private tuition, and community spaces for hire
Age UK Haringey	Promoting the well-being of all older people in the Borough, by providing services and support, volunteering opportunities and the promoting the involvement of older people.
Alhijra Somali Community Association	Alhijra provides culturally appropriate social, educational and recreational services for mainly Somali people living in and around Haringey
Alzheimer's Society Haringey	Supporting people with dementia and their carers and families
Asian Carers Support Group	Informal carers support - advocacy, support group, outreach, events, short breaks for carers
Association of Multicultural Communities UK	Working to promote the independence and educational advancement of primarily Bangladeshi women in Haringey.
Previously Bangladeshi Womens Association	Working with vulnerable children in Haringey through provision of services
Barnardos	Grant administered on behalf of the Arts Council - supporting media, arts and culture
Ben Long	Arts, cultural & educational programmes
Bernie Grant Arts Centre	Floating support service for Charedi community
Bikur Cholim D'Satmar	Providing treatment for crack cocaine users
Blenheim CDP	Services to informal carers. This includes short breaks services for children and adults and Carers Hub in Wood Green Library
BME Carers Support Service	



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Name of organisation	Organisation/Service - Short Description
Broadwater Community Workshops (1992) Ltd	Community space
Broadwaters Children's Centre	Offering a range of services for children under 5, including family support, health and education
Brookside Lawn Tennis Club	Sports and activities
Calthorpe Sports & Social Club	Sports and activities
CARIS	Providing a bereavement counselling service and advice and support to homeless families
Casmaco LTD	Drug Intervention programme
Catch 22	Programmes to help people steer clear of crime or substance misuse.
Club Anand	Training for Appropriate Adult services
Collage Arts	Drop in centre for Older People
Council of Asian People	Arts, cultural & educational programmes
Crime Reduction Initiative (CRI)	Provides culturally appropriate social, educational and recreational services for mainly Asian people living in and around Haringey
Crouch End Vampires Football Club	Working with people that are affected by drugs, alcohol, crime, homelessness, domestic abuse and antisocial behaviour. Drug prevention programme
Cypriot Community Centre	Sports and activities
Cypriot Elderly & Disabled Group	Generic advice, information and support. Luncheon club, Cultural and social activities. Representation to local authority in an advisory and consultation capacity
Dance United	Generic advice, information and support. Working with those who are marginalised in society and whose potential is often unrecognised or unfulfilled. Providing contemporary dance training and performance



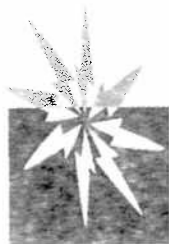
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Name of organisation	Organisation/Service - Short Description
Different Strokes	Run by stroke survivors for stroke survivors, for active self help and mutual support, helping stroke survivors of working age to optimise their recovery by offering 'rehabilitative services', information and advice and training/education
Embrace UK	Working with refugees, asylum seekers and migrants by the provision of information, advice and guidance on welfare benefits, housing, immigration, education and training, health related issues such as HIV/AIDS
Energy Lab (based at Selby Centre)	Aims to use waste materials from the Selby Centre and turn them into viable insulating materials, which will then be used to retrofit the centre.
Euroart Studios Limited	Art workshop and space for artists
Everyone'S Climbing Tree Ltd	Art workshop and space for artists
Exposure	Youth magazine for young people and produced by young people aged 14 years to 21 years old.
Ezer Leyoldos	Nursery services for children
Fortis Green Nursery Group	Nursery services for children
Glencairn Sports Club Ltd	Sports and activities
Greek Cypriot Womens Organisation	Promotion of physical and mental health and welfare of women and their families.
HAGA	Providing services that support a reduction in problematic alcohol misuse for problem drinkers and their families, facilitate a recovery-based approach, and raise awareness in the wider community of the harms caused by alcohol misuse and the options for change
HAIL	HAIL works with people with a learning disability and other vulnerable people. Commissioned services include, supported living placements, support workers, residential care



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Name of organisation	Organisation/Service - Short Description
Haringey Chinese Centre	Providing a culturally appropriate service for elderly, disabled and socially isolated Chinese people in Haringey who are not able to access mainstream support services
Haringey Citizens Advice Bureaux	Advice and guidance on local, regional and national services. Specialist advice on reviews and appeals
Haringey Disabilities First Consortium	Consortium between Haringey Race and Equality Council (HREC), Haringey Women's Forum, Haringey Citizen's Advice Bureau with Haringey Age Concern with HREC as the lead agency for delivery
Haringey Irish Cultural & Community Centre	Provides culturally appropriate services for Irish people in Haringey. The community centre is also home to other black and minority ethnic groups
Haringey Law Centre	Providing free legal advice and representation for residents of Haringey
Haringey Mencap	Providing services, including advocacy to people with Learning Disabilities and their carers/families
Haringey Pensioners Action Group	This group was founded more than 16 years ago by a group of pensioners worried about pensions and pensioners rights.
Haringey Phoenix Group	Working with people who are registered blind or partially sighted offering a wide range of classes for its members, one to one support when necessary, and organises an information desk at eye clinics in local hospitals
Haringey Play Association	Providing support and advice to the voluntary sector organisations working with children and young people
Haringey Race and Equality Council	HREC works with the local community to encourage and support racial equality, human rights, diversity and understanding between people of different backgrounds and origins with the aim of eliminating discrimination and race hate crimes, to promote a community that is just and fair
Haringey Shed	'Inclusive performing arts' giving all young people and children, the opportunity to learn drama, music & movement skills and theatre.



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Name of organisation	Organisation/Service - Short Description
Haringey Somali Community & Cultural Association	Providing advice information and support to Somali refugees and asylum seekers in Haringey and neighbouring boroughs
Haringey Sports Development Trust	Sports services to a range of beneficiaries including young people, adults (including adults and young people with disabilities)
Haringey Womens Forum	The Forum provides both front-line services to individual Women and to women's organisations.
HARRP Trust	Working with ex-offenders and in partnership with key statutory agencies, including the police, probation service, and the local authority
HAVCO	Haringey Association of Voluntary and Community Organisations (HAVCO) is the umbrella organisation for the VCS in Haringey and work with organisations to capacity build and offer support and advice.
Highgate Society	Organisational aim is to make Highgate and its neighbourhood a better place in which to live and work.
Home Start	Training and support to volunteers to offer regular support, friendship and practical help to families in their homes with at least one child under 5 years
Hope Community Centre Ltd	Community space
Hornsey Bowling Club Ltd	Sports and activities
Hornsey Vale Community Centre	Community space
Hornsey YMCA	Children's activity programmes and recreational and sports activities for young people in Haringey
I CAN Care	Drop in centre for Older People
Ilse Amlot Centre for Women & Children	Providing a range of support services for women with the aim of improved social, financial and emotional wellbeing
Imece	Imece aims is to empower Black, Minority Ethnic and Refugee (BMER) women, particularly Turkish, Kurdish and Turkish Cypriot women and improve quality of their lives.



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Name of organisation	Organisation/Service - Short Description
J.A.N Trust	The charity provides services to women who are refugees, asylum seekers as well as those women from the wider BME communities living in the borough of Haringey
Jacksons Lane Community Association	Arts, cultural & educational programmes
Ken Ife T/A Development Training Agency	Training and education
Kurdish Advice Centre	The KAC works with the Kurdish community and provides culturally appropriate services
Kurdish Community Centre	Provision of a range of services to a mainly Kurdish community. Kurdish organisation providing advice, advocacy, welfare rights, youth work and training provision
Lubavitch Nursery	Nursery services for children
Markfield Project	Promoting independence, choice and social inclusion for disabled people and their families in Haringey
Mental Health Support Association (formerly Mental Health Carers Support Association)	Advocacy advice and support for people with mental health issues and their carers.
MIND in Haringey	Advocacy advice and support for people with mental health issues and their carers.
Mr Dan Cooper T/A Wing Chun	Sports and activities
Muswell Hill Bowling Club	Sports and activities
Muswell Hill United Reform Ltc	Charitable activities
NHS (Reshaping Drug and Alcohol Services in Haringey)	Drug and alcohol services
North London Partnership Consortium	Employment support services
Open Door	Counselling & Psychotherapy services for children and young people
Our Lady Of Muswell Lawn Tennis Club	Sports and activities
Park Studios Ltd	Community space
Peoples World Carnival Band	Activities in the community



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Name of organisation	Organisation/Service - Short Description
Polish and Eastern European Christian Family Centre Ltd (PEEC)	Supporting Polish and Eastern European families and individuals with regular drop in groups and providing information and guidance
Positive Employment	Employment support services
Promise Training Centre Ltd	Training and education
Resources for Autism	Short Breaks for disabled children. This is a specialist resource for children and young people with autism
Royal London Society for Blind People	Offering support and advice to blind and partially sighted people
Samaritans	Offering 24 hr 365 days support adults in distress
Step by Step	Specialist resource for Jewish Orthodox children and young people with disabilities
Stormont Club	Sports and activities
Teens & Toddlers	Working with young people to develop the awareness and life skills to take responsibility for their lives
The Alexandra Park Club	Sports and activities
The Brandon Centre	Counselling and psychotherapy services for Children and Young People
The Nia Project	Providing a safe and supportive environment for women and children who are escaping physical, sexual and emotional abuse
The Selby Trust	Host centre providing support to various voluntary groups based within centre
Tottenham Green Under Fives' Centre	Nursery services for children
Tottenham Hale International Studios (This) Ltd	Art workshop and space for artists
Tottenham Hotspur Foundation	Providing sports, health, training, and education programmes for communities
Train2Job	Employment support services



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Name of organisation	Organisation/Service - Short Description
Trinidad And Tobago Association	Promoting social welfare, community relations and Caribbean culture through various arts activities. There are workshops available on various subjects including health and crime
Turkish Cypriot Women's Project	Providing advice and support to women, particularly Turkish Cypriot women, developing skills and expertise to take control of their lives.
Up projects	Grant administered on behalf of the Arts Council - supporting media, arts and culture
Urban Futures London Ltd	Regeneration agency, focussing on programmes to promote economic and social development
Venture Club	Working with people with sensory impairment
Westminster Drug Programme (WDP)	Drug treatment services
Whizz Kidz	Short Breaks for disabled children. This is a specialist residential resource for children and young people with complex disabilities.
Wise Thoughts	Wise Thoughts provides advice and support to the lesbian, gay, bi-sexual and trans-gender community in Haringey
Yesodah Hatorah Nursery	Nursery services for children



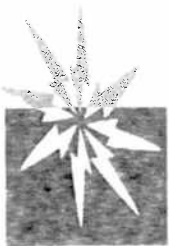
Haringey Council

Appendix B - Haringey Voluntary Sector Investment Fund 2012 - 2015

Organisation name	Investment Fund project
Action for Kids	<p>The Project provides intensive 1:1 support and coaching for young people aged 16 – 18 with learning disabilities to enable them to gain employability and independent living skills. A transition team will be working with individuals and small groups in special schools and colleges. They will broker suitable work based training, work experience, volunteering placements and supported employment and provide ongoing coaching and support.</p>
Age UK Haringey	<p>'Caring Connections' is a new Age UK Haringey project designed to increase the number of Haringey's older residents who lead independent lives and who have, irrespective of any disability they may be living with, access to local volunteering opportunities and community networks.</p>
CARIS Haringey	<p>This project offers a range of vital services, including: outreach and advice services with wide-ranging professional advice, e.g. on health, education, housing, benefit claims, immigration and refugee status and frequently used interpretation services delivered through surgeries, drop-ins and home visits. CARIS also works with health visitors and mental health professionals; a safety loan scheme e.g. for stair gates, smoke alarms, window and cupboard locks; provides English classes supplemented by crèche provision, reading groups and a mobile toy library; psycho education groups; summer play scheme and events throughout the year.</p>
Council of Asian People	<p>The Council of Asian People (CAP) (or 'Asian Centre') project will concentrate on the principles of prevention and early intervention engendering choice, empowering people and ensuring family involvement. This will be achieved through information and advice services, diet, healthy eating and physical activity. A range of activities will take place at the Asian Centre on a regular basis to help people be active, informed and empowered. The project aims to also encourage families to work together to tackle common health issues such as obesity, smoking and alcoholism.</p>



Organisation name	Investment Fund project
Cypriot Community Centre	<p>The Cypriot Community Centre offers the provision of community space, and services including: general advice and advocacy; a Drop-In Lounge/Café to reduce isolation and provide support especially to vulnerable members of the community (and also respite for carers); Luncheon Club service at the Centre and a Meals-on-Wheels service to isolated/disabled residents; a programme of talks, conferences, seminars on relevant topics of interest to the community, including employment, economy, finance, health and safety; and increased opportunities for volunteering, including in conjunction/co-working with our main user group, the Cypriot Elderly & Disabled Group.</p>
Exposure	<p>The project will develop Exposure's award-winning youth media training activities, in graphic design and video editing, as well as to support vulnerable and disadvantaged young people locally, improving their skills, confidence and employment prospects. It will also enable us to explore ways to generate additional income for the charity through offering creative services to local organisations with the involvement of young people.</p>
Greek Cypriot Women's Organisation	<p>Offering a wide programme of activities such as computing classes, Greek dance lessons, keep fit classes, health promotion and health checks including self management of chronic diseases, a bilingual library, interpretation and translation services, information advice and guidance, social and cultural events, counselling, volunteer's co-ordination, outreach service to clients with special needs, talks on community safety and environmental issues, job search and interview skills, support with CVs etc. Community space will also be available for hire to other local voluntary organisations to facilitate social cohesion.</p>
Haringey Chinese Centre	<p>The Haringey Chinese Centre aims to improve the quality of life of disadvantaged, isolated and excluded people by making services suitable, accessible and available to those in greatest need and where possible to support their integration into mainstream UK society. Services available including; information, advice and advocacy; training; offering appropriate care, healthy living advice and referral to health services; and, running cultural, social, and multi-cultural activities; and representing the interests of Chinese and other disadvantaged people in Haringey.</p>



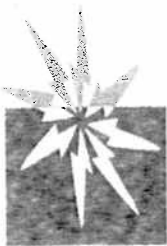
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Organisation name	Investment Fund project
Haringey Disability First Consortium	<p>This project is focussing on making a real difference by working together to improve the lives of Haringey's disabled residents through representation, advice and casework. The Consortium aims to work with the local community to encourage disabled people to have a strategic voice and be represented in the delivery and planning of services.</p>
Haringey Irish Community and Cultural Centre	<p>Haringey Irish Cultural & Community Centre will be providing an annual programme of cross-cultural arts and community events with the aim of attracting new and diverse audiences to the Centre including the vulnerable, disabled and hard to reach-adults. The Centre also plans to increase volunteering and networking opportunities and is also keen to build on cooperation between existing groups and new groups to the Centre in order to better share knowledge and resources.</p>
Haringey Mencap	<p>The EAT project (Easy Access Tottenham) aims to bring together young adult volunteers from the Tottenham area with local residents who have learning difficulties and/or disabilities. The project is designed to enable Haringey residents with learning difficulties and/or disabilities to gain 'Easy Access' to local statutory services, leisure services and community venues via the use of the Easy Access volunteer team. Social care based skills training is provided to the volunteers that will enable them to effectively undertake support roles locally, thus supporting people to undertake activities that those without additional support needs, would usually take for granted.</p>
Haringey Play Association	<p>HarPA's <i>Power of Play</i> project empowers parents and children's services (e.g. schools and local voluntary organisations) to promote play in ways that have been shown to have a positive impact on children's physical, emotional and mental well-being and enable the development of resilience factors in children. HarPA works to develop and support a diverse range of children's play spaces and activities in Haringey, supporting play providers with advice and information and promoting inter-agency communication and cooperation. HarPA's innovative, award-winning Somerford Grove Adventure playground in Tottenham is to be developed into a community hub. Additionally, HarPA is developing and expanding its volunteer programme.</p>



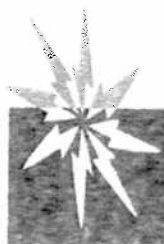
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Organisation name	Investment Fund project
Haringey Race and Equality Council	<p>The HREC project establishes a Haringey Equalities Network – a multi-agency forum providing up to date information, debate and discussion about law and good practice. Partnership working across organisations is key – working as a critical friend, bringing relevant equalities knowledge and expertise and supporting local public bodies in delivering their “General Duty” under the Single Equality; and providing critical equalities/crisis response consultancy by providing an independent mediator role between public bodies and community groups; mediation during internal organisational crises, and providing support to resolve rivalries and disagreements between groups in the “diversity” communities and responding to Borough crises.</p>
Haringey Shed	<p>Haringey Shed will provide services to 400 disadvantaged and disabled children and support specifically the inclusion of 47 children per year with special needs and those from hard-to-reach backgrounds from Tottenham, in after-school and holiday packages of activities. Haringey Shed will also recruit, train and support 24 volunteers per year from the local community to work with our children and young people in all our activities. Each term, the programme will lead to several public performances to showcase the progress of the 400 children involved and foster community cohesion.</p>
HARRP Trust	<p>The Holistic Action Reducing Re-offending Programme provides end-to-end case management of non-statutory offenders and ex-offenders using a comprehensive follow-through process that includes a meet-at-the-gate service, a needs assessment process, individual resettlement planning and reviewing, inter-pathways cross referrals and on-going progress tracking and aftercare.</p>
Hornsey Vale Community Association	<p>Hornsey Vale Community Association (HVCA) project is focussed on strengthening and developing relationships with targeted users, especially those who work with disabled people and other vulnerable adults. A part-time outreach worker will also establish links with users new to the centre and a series of events will offer structured opportunities for all users to network, share practice and resources and celebrate genuine diversity and a sense of belonging.</p>



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Organisation name	Investment Fund project
Ilse Amlot Women's and Children's Centre	<p>The Ilse Amlot Centre for Women and Children project - the Northumberland Park Advice Service (NPAS) - aims to assist women who are experiencing the effects of poverty such as ill health, debts and poor housing conditions and assist women in accessing services that will reduce social exclusion and isolation. It works to ensure that women and children do not suffer through discrimination, circumstances or lack of knowledge of their rights. NPAS services include the provision of general advice, ongoing support, casework and advocacy in matters relating to welfare benefits, housing, disability, debt, training and educational opportunities. It is aimed primarily at women residing in Northumberland Park Ward.</p>
Markfield Project	<p>This project will provide places on weekly term-time clubs for disabled children and siblings. The sessions will be based at the Markfield centre, making use of extensive facilities, including large indoor play space, an adventure playground, a soft playroom, a computer room and cooking facilities as well as trips out to local community facilities. Free free advice surgeries per year to parents/carers with a disabled family member will also be provided. The surgeries offer support around issues relating to new diagnosis, accessing appropriate educational, health and social care support, signposting to local services, and practical help with disability related benefits, behavioural and parenting support.</p>
MIND in Haringey	<p>The Emotional Support Provision (ESP) project for young people will deliver outreach ESP to young people in need aged 14-21 years of age either living or transitioning within the Care system, or being young carers themselves. The overall aim is to achieve better outcomes across all areas of their lives by helping them to become self-sufficient and achieve positive outcomes within their communities and by addressing multiple disadvantages that affect this client group and their families and carers. The project is focussing in particular on the East of the Borough where more resources and support is needed.</p>
NIA Project	<p>NIA is providing a specialist, quality-accredited Independent Domestic Violence Advocacy (IDVA) Service in Haringey. This service will support women who have been identified as</p>



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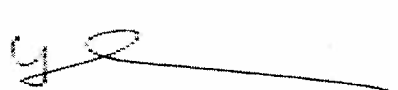
Organisation name	Investment Fund project
	<p>"high risk" of repeated domestic violence through risk assessment and referral from the police or any other agency referring into the Haringey Multi Agency Risk Assessment Conference (MARAC). The IDVA service will also provide dedicated support for women attending the Specialist Domestic Violence Court (SDVC Haringey) as victims and witnesses.</p>
Open Door	<p>This is a new service for young people in the heart of Tottenham – Open Door East. Open Door is dedicated to improving the emotional health and well-being of Haringey's young people in their transition from childhood to adulthood, offering a range of counselling and therapeutic interventions. Open Door provides a welcoming, confidential, easily accessible service in a non-stigmatising setting. Young people are encouraged to refer themselves or can be referred by concerned professionals.</p>
Royal London Society for Blind People	<p>The Royal London Society for Blind People (RLSB) project involves running sessions for blind people encompassing the demonstration of cooking aids together with nutritional guidance for healthy eating. The remaining sessions will explore the fun and enjoyment of cooking and breaking down the barriers which often prevent visually impaired people independently cooking.</p>
Teens & Toddlers	<p>The overall aim of the Teens and Toddlers youth development programme is to decrease the number of teenage conceptions, help raise the self-esteem/aspirations of young people and help them to understand that teenage pregnancy is not their only option for the future. Each Teens and Toddlers programme runs for 18 weeks.</p>
Turkish Cypriot Women's Project	<p>The Turkish Cypriot Women's Project (TCWP) project will aim to empower women in Haringey to take more control of their lives, benefiting themselves and their families and provide opportunities for young people to learn skills to improve their life chances, support other voluntary sector groups by providing access to accommodation and to share resources.</p>



Haringey Council

Report for:	Overview and Scrutiny	Item Number:	
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Title:	Scrutiny Review Update: Response to the Scrutiny Review of the Clustering of Betting Shops.
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Report Authorised by:	Stephen McDonnell – Assistant Director of Single Frontline 
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Lead Officer:	Eubert Malcolm – Group Manager Regulatory Services Telephone: 0208 489 5520 eubert.malcolm@haringey.gov.uk
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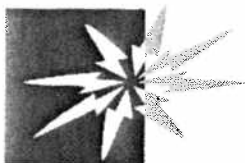
Ward(s) affected: All	Report for Key/Non Key Decisions: Non Key Decision
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1. Describe the issue under consideration

The Scrutiny Review of the Clustering of Betting Shops was agreed by Overview & Scrutiny Committee in June 2011, approved by Cabinet in December 2011. The Clustering of Betting Shops in the Scrutiny Panel report clearly identified as an issue that cuts across council services and partners. This report provides an update on the progress against the agreed recommendations.

2. Cabinet Member introduction

Clustering of betting shops, particularly in Tottenham, Wood Green and Harringay Green Lanes, is a major concern of local residents and traders. The Tottenham MP and I have tirelessly lobbied government, past and present, to change the licensing and planning laws that currently allow bookies to set up shop without any scrutiny from local residents. The Coalition Government espouses great rhetoric about



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localism but on this, an issue that really matters to local people, they're silent. I'm disappointed that the Hornsey and Wood Green MP voted against a legislative proposal put forward by the Tottenham MP the then Localism Act. This amendment would have put in place a control mechanism for betting shops to restrict clustering.

I should make it clear that I am not opposed to betting shops; however when Tottenham alone has 29 bookies and wealthy Highgate has 1 it's clear that the industry is cynically targeting deprived areas.

3. Recommendations

That Committee members;

- Consider the update information provided in Appendix 1
- Inform officer if they require any further information.

4. Other options considered

There are no other options to consider.

5. Background information

The Clustering of Betting Shops in Haringey has attracted considerable community concern in 2009 and 2010 and prompted substantial coverage in local media. The Licensing Authority (the Local Authority) under the Gambling Act 2005 has the statutory duty to issue Premises Licenses. We also consult and determine a Statement of Gambling Policy which supports our priorities for 'Safer and Cleaner' public spaces, 'Wellbeing' and 'Independent Living'

6. Comments of the Chief Finance Officer and financial implications

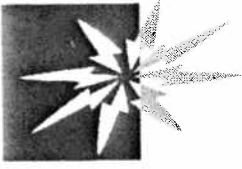
- 6.1 The costs of preparing and implementing the recommendations of this report have been met within existing budgets.

7. Head of Legal Services and legal implications

- 7.1 There are no legal implications arising from the updates identified in the body of this report at this stage. The wider legal implications of Cabinet's response to the scrutiny review are found in the relevant Cabinet report dated 20 December 2011

8. Equalities and Community Cohesion Comments

- 8.1 An Equalities Impact Assessment will be undertaken when the Statement of Gambling Policy is revised



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9. Policy Implication

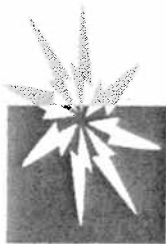
The Council following consultation has recently revised its Gambling policy in line with the requirements under the act for the policy to be reviewed every 3 years.

10. Use of Appendices

Appendix 1 – Update on the progress against the agreed recommendations

11. Local Government (Access to Information) Act 1985

Scrutiny Review of the Clustering of Betting Shops in Haringey 2011



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Appendix 1 Update on the progress of the Recommendations for Clustering of Betting shops to Overview & Scrutiny

Recommendations	Service Response	Update December
<p>1. The clustering of any retail, business or service use (including betting shops) may limit the retail appeal and affect the vitality and viability of shopping areas in which these clusters occur. The panel recommended that the concept of 'clustering' should be clearly defined and appropriately reflected in relevant planning policy documents. The panel also recommended that the planning service should consider the development of a 'clustering' policy.</p>	<p>Agreed</p> <p>The Planning Service is reviewing the impact of betting shops and clusters in Town Centres and will report back on possible policy options for control - this will be reported to Cabinet in December 2011.</p>	<p>Planning Comment</p> <p>The issue of Betting/Payday and clustering will be part of consultation on the Development Management DPD approved by Cabinet in Dec. The policy options form part of the DM DPD will go out for further consultation in the new year.</p>

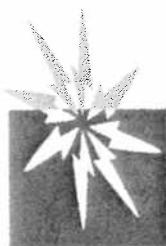


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Recommendations	Service Response	Update December
<p>2. The panel recommend that the Licensing Team establish a Responsible Gambling Premises Scheme, similar to the Responsible Licensee Scheme already in operation in Haringey. Local gambling operators should be encouraged to sign up to this voluntary agreement which sets clear standards and procedures that:</p> <ul style="list-style-type: none"> ▪ ensure that clean and presentable shop frontages are maintained ▪ discourage customers from gathering outside betting shop premises ▪ reports crime and anti-social behaviour both on and outside betting shop premises to appropriate authorities ▪ ensure details of where people with gambling problems can obtain help and advice are prominently displayed ▪ signage regarding ASB, criminal damage and underage usage of Fixed Odds Betting Terminals is prominently displayed ▪ ID checks are systematically employed to prevent under age gambling. 	<p>Agreed</p> <p>The Licensing Team has commenced work with betting operators to establish such a scheme. Discussions regarding joint working with the police in a Responsible Gambling Premises Scheme have also commenced. It is proposed that the Responsible Gambling Premises Scheme is in operation by February 2012.</p>	<p>The Licensing team have approached Paddy Power, William Hill and Ladbrokes. Each operator is willing to work with the police to resolve any matters that related to their particular business, however the operators felt that the scheme was not necessary and would be a duplicate existing legislative measures and the Code of Practice. Further work with the operators is planned.</p> <p>Haringey's Trading Standards Team have carried out underage test purchases at betting shops in the borough none of them failed the test. No sales were made to underage persons.</p>



Recommendations	Service Response	Update December
<p>3. In order to inform the effectiveness and viability of local approaches to controlling the use of the clustering of betting shops, the panel recommend that the Council should develop a full appraisal of the costs and benefits of adopting an Article 4 Direction.</p>	<p>Agreed</p> <p>The Cabinet member for the environment will hold a wider stake holder debate on this matter in early 2012.</p>	<p>The stake holder event is being planned for Spring of 2013.</p>



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Recommendations	Service Response	Update December
<p>4. The panel recommend that the Council should continue to lobby central government for amendments to the Gambling Act (2005). In doing this, it should also seek to develop alliances with other local authorities in which the clustering of betting shops is known to be of local concern. Explicitly, the Council should lobby central government to:</p> <ul style="list-style-type: none"> ▪ reintroduce a local 'demand test' for gambling premises licenses, where the local authority may assess the need for such use in a local area ▪ remove betting shops from A2 Use Class and be considered 'sui generis' and defined in their own Use Class ▪ ensure that local concerns and interests are fully represented and considered and prioritised in the decision to license gambling premises <p>ensure that crime and ASB are defined and recognised within the Gambling Act licensing procedures.</p>	<p>Agreed</p> <p>The Cabinet Member for Environment has lobbied Government and the Local Government Association for change to the Act and guidance extensively and will continue to do so.</p> <p>Over the last few months the Cabinet Member for the Environment has:</p> <ul style="list-style-type: none"> - invited and hosted the Chief Executive of the Association of British Bookmakers on a walkabout around Tottenham; - Strongly argued against clustering in the betting industry's trade press; - Written an article on the subject for Local Government Lawyer magazine; - Written a blog for on the issue for the Tottenham Journal; - Been working with the Campaign for Fairer Gambling to lobby on this issue. 	<p>No changes to the primary legislation.</p> <p>The changes made to the Local Authority Guidance from the Gambling Commission does not address any of the issues that we have been lobbying the government about. It only deals with operational matters such as clarifying primary use within betting premises, the new limits for gaming machines in bingo and adult gaming centre premises.</p>



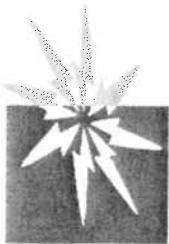
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Recommendations	Service Response	Update December
<p>5. The panel recommend that the Council should write to the Department of Culture, Media and Sport and the Gambling Commission about the concerns associated with the Fixed Odds Betting Terminals (FOBTs). In particular the panel recommended that the Council should:</p> <p>a) argue for a change in the licensing conditions of FOBTs so that:</p> <ul style="list-style-type: none"> ▪ licenses for FOBTs are considered separately from those of premises licenses and not allowed as part of the wider license ▪ the retention rates of FOBTs are prominently displayed on each machine <p>b) suggest that independent research is commissioned to investigate:</p> <ul style="list-style-type: none"> ▪ the association of FOBTs with crime and disorder ▪ the role of FOBTs on those with problem gambling <p>the contribution of FOBTs to the profitability of betting shops, and the propensity of betting shops to cluster.</p>	<p>Partly Agreed</p> <p>a) Letter is being prepared by the Cabinet Member for Environment</p> <ul style="list-style-type: none"> • The Cabinet Member for the Environment has also sent a response to the Select Committee Enquiry into Gambling raising these issues. <p>b) The possibility of commissioning independent research into the association of FOBTs with crime and disorder is being investigated. At this initial stage the costs appear to be prohibitive, however discussions will continue with the police to try to jointly address this issue pending appropriate resources are available.</p>	<p>Letter sent</p> <p>Response sent to the Select committee. The Select Committee have published their findings and the Betting Industry are to be given further abilities to make gambling more easily available. One of the recommendations being put forward is for betting shops to be given the ability to have a minimum of 4 FOBTs, with the ability to increase this figure.</p> <p>In September Ministers signalled a slight change in thinking and announced that betting matters were to be looked at. At the top of their concerns were:</p> <ul style="list-style-type: none"> • restricting the size of the stake on machines, • increasing the time between each 'spin' on a machine, • restricting the number of FOBTs a betting shop can install and • restricting the number of terminals on each high street.



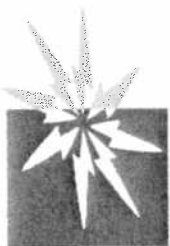
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Recommendations	Service Response	Update December
<p>6. The panel recommend that there should be improved liaison between betting operators and Safer Neighbourhood Teams and borough intelligence in helping to reduce low level crime and ASB in relation to the local betting shop estate. This should include;</p> <ul style="list-style-type: none"> • Consistent standards and process for reporting crime and ASB across all operators • improved consultation in relation to prospective location of betting shops • Improved consultation to ensure that betting shops are planned and designed to improve security and prevent crime and ASB (i.e. shop lay out, location of CCTV, location of FOBTs and positioning of cash desks). 	<p>Agreed by MPS Haringey</p> <ul style="list-style-type: none"> • Work has already started in this area. There are consistent standards for reporting crime and ASB which are being reinforced across the operators by SN Sergeants and Problem Solving practices. • Area managers for the betting shops are beginning to work with police managers and a forum is being considered moving forward. • The Police Crime Prevention Design Advisors are looking at betting shop layout and advising where appropriate. • The police call control systems are being reviewed to understand how calls relating to alcohol, ASB and disorder can be better captured by data management systems post event. 	<p>SNT officers in Wood Green and Green Lanes have been actively working with the betting shops in the area. They have contributed to the layout and design of some of the premises in giving advice as to the best vantage points to site machines and cameras. The SNTs have also actively worked with premises to deal with ASB and drug related matters taking place in an area.</p>



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Recommendations	Service Response	Update December
<p>7. The panel recommend that the Council should undertake further work to investigate whether the clustering of betting shops has precipitated an increase in rental values in the areas in which these have occurred.</p>	<p>Agreed</p> <ul style="list-style-type: none"> It is proposed to explore various options that can be utilised to determine whether the clustering of betting shops has precipitated an increase in rental values. 	<p>Currently Planning are analysing the findings from the Retail Capacity study that will inform our new set of town centre planning policies and the implications of clustering of betting shops upon viability and vitality of our town centres. This will show from which use classes new betting shops are being generated and recommend appropriate course of action where remedies under the Planning system or any other routes are open to the Council.</p>
<p>8. The panel recommend that the Council should consider if there is a role for Area Committees in monitoring the clustering of retail uses and the impact that this may have on the communities for which they are responsible.</p>	<p>Agreed</p> <ul style="list-style-type: none"> It is proposed to explore and pilot the role of Area Committees to monitor the impact of clustering in selected wards in early 2012. 	<p>The DoPH are to commission some work to look at the effects of clustering of betting shops, this will be taken forward to assist Planning in the work being carried out to bring in an Article 4 direction.</p>



Recommendations	Service Response	Update December
<p>9. Given the associated risk factors and co-behaviours associated with problem gambling (smoking, alcohol usage), a copy of the report is made available to Public Health Directorate for dissemination among local health and associated professionals to improve awareness and possible treatment options.</p>	<p>Agreed Completed</p>	<p>Action completed</p>

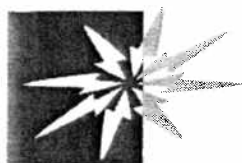
Table showing totals for betting shop premises issued since 2007.

Year	Betting shops	New	surrendered	Running total	FOBTs 4 per shop
2007	63	1	0	64	256
2008	64	5	3	66	264
2009	66	1	6	61	244
2010	61	5	1	66	264
2011	66	2	2	66	264
2012	66	2	0	68	272


The borough currently has 68 licensed betting shops, 7 Adult gaming centres, 1 Bingo Hall and 2 Track betting premises.

The Gambling Act is not affected by the Localism Act from a Licensing perspective. Certain Planning matters are relevant to the Localism Act.

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Haringey Council

Report for:	Overview & Scrutiny Committee 22 nd January 2013	Item number	
Title:	Treasury Management Strategy Statement 2013/14 – 2015/16		
Report authorised by :	 Director of Corporate Resources		
Lead Officer:	Nicola Webb, Head of Finance – Treasury & Pensions nicola.webb@haringey.gov.uk 020 8489 3726		
Ward(s) affected: N/A		Report for Non Key Decision	

1. Describe the issue under consideration

- 1.1 To present the proposed Treasury Management Strategy Statement and Prudential Indicators for 2013/14 to 2015/16 to this Committee for scrutiny before being presented to full Council for approval.

2. Cabinet Member Introduction

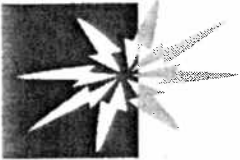
- 2.1 Not applicable.

3. Recommendations

- 3.1 That the proposed Treasury Management Strategy Statement and Prudential Indicators for 2013/14 to 2015/16 at Appendix 2 is scrutinised and comments made prior to its presentation to Council for approval.

4. Other options considered

- 4.1 None.



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5. Background information

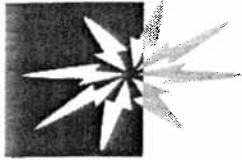
- 5.1 The CIPFA Treasury Management Code of Practice requires all local authorities to agree a Treasury Management Strategy Statement including an Investment Strategy annually in advance of the financial year. The strategy should incorporate the setting of the Council's prudential indicators for the three forthcoming financial years.
- 5.2 The CIPFA Treasury Management Code of Practice requires that the Treasury Management Strategy Statement is formulated by the Committee responsible for the monitoring of treasury management, is then subject to scrutiny before being approved by full Council. Corporate Committee is responsible for formulating the strategy and they considered and agreed the proposed Treasury Management Strategy Statement on 29th November 2012. Since November the capital programme has been agreed by Cabinet and so the figures in the strategy have been updated and are being reported back to Corporate Committee on 22nd January 2013. The changes to the figures do not alter the strategy agreed by the Committee.

6. Comments of the Chief Financial Officer and Financial Implications

- 6.1 The approval of a Treasury Management Strategy Statement and prudential indicators are requirements of the CIPFA Treasury Management Code of Practice and CIPFA Prudential Code. The proposed strategy of minimising borrowing and continuing to make use of internal balances not only minimises costs, but also reduces the credit risk associated with investments, as the amount being invested is low. Given the current low short term interest rate environment is expected to continue throughout 2013/14 and beyond, the interest rate risk associated with delaying borrowing is assessed to be low.

7. Head of Legal Services and Legal Implications

- 7.1 The Council must make arrangements for the proper administration of its financial affairs and its power of borrowing is set out in legislations. In addition further changes were introduced to the way the Housing Revenue Account is dealt with as a result of the Localism Act 2011. The level of HRA Capital Financing Requirement must remain within the debt cap set by the Department of Communities and Local Government.



Haringey Council

- 7.2 The Council is required to determine and keep under review its borrowing and in complying with this requirement it must have regard to the code of practice entitled the “Prudential Code for Capital Finance in Local Authorities” as published by CIPFA from time to time. In addition, the Council adopted the CIPFA Treasury Management Code of Practice in May 2002.
- 7.3 As mentioned in this report the Code of Practice requires the Council to agree a Treasury Management Strategy Statement (TMSS) (including an Investment Strategy). This TMSS was first approved by Corporate Committee on 29 November 2012 but has since been updated and these updates are to go before the Corporate Committee for approval on 22 January 2013.
- 7.4 In considering the report Members must take into account the expert financial advice available and any further oral advice given at the meeting of the Committee. In particular members should note the change in the perceived creditworthiness of financial institutions with the removal of the short term ratings requirement from the lending list criteria.

8. Equalities and Community Cohesion Comments

- 8.1 There are no equalities issues arising from this report.

9. Head of Procurement Comments

- 9.1 Not applicable.

10. Policy Implications

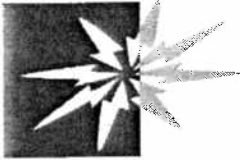
- 10.1 None applicable.

11. Use of Appendices

- 11.1 Appendix 1: Summary of Treasury Management Strategy Statement
- 11.2 Appendix 2: Draft Treasury Management Strategy Statement 2013/14 – 2015/16.

12. Local Government (Access to Information) Act 1985

- 12.1 Not applicable.



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13. Proposed Treasury Management Strategy Statement

13.1 The proposed Treasury Management Strategy Statement set out in Appendix 2 was considered by Corporate Committee on 29th November 2012 before being presented to this Committee for scrutiny in advance of being presented to full Council in February 2013 as part of the Financial Planning report for 2013/14 to 2015/16.

13.2 All the figures in this document are based on current plans for the revenue budget and capital programme. This means they are subject to any changes to these plans and, therefore, the statement will be updated for required adjustments before submission to full Council. Any such adjustments are expected to be minor.

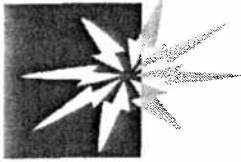
13.3 The summary set out in Appendix 1 is to bring to members' attention the key elements of the proposed strategy being considered.

13.4 In 2013/14 a continuation of very low short term interest rates compared to medium and long term rates is expected throughout the year. This means that there will be an on-going "cost of carry" if funds are borrowed in advance of capital expenditure being incurred. Therefore the Council plans to continue to run a strategy of keeping cash balances low and invested short term and to borrow only when required.

13.5 As there are loans due to mature during 2013/14, and the Council has already maximised its internal borrowing position, new borrowing will be required. It is proposed that short term loans are taken from other local authorities to maintain liquidity, and that opportunities to obtain PWLB fixed rate loans at reasonable rates are taken as they arise on the advice of the Council's treasury management advisers.

13.6 For the investment strategy, it is proposed that the Council maintains a minimum long term credit rating of A- and continues to restrict investments to the same list of UK banks, building societies, and money market funds as in 2012/13, in addition to the Debt Management Office and other local authorities.

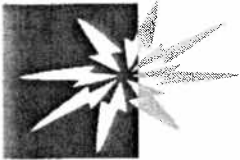
13.7 In the past the Council has set a minimum short term rating, alongside the minimum long term credit rating. However, it is the long term rating that is the ultimate driver of creditworthiness in financial institutions. In the financial markets, the perceived credit standing of an institution is referenced by its long-term rating which represents a credit rating agency's view of an institution's capacity to honour its financial obligations and its vulnerability to foreseeable events. The long-term rating is the principal driver of a bank's funding costs and



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perceived creditworthiness internationally, and hence market sentiment towards that institution. Given the prominence of long term ratings and on the Council's treasury management advisers' advice, it is proposed to remove the reference to short term ratings in the lending list criteria. This change will enable the Council to lend to Royal Bank of Scotland and Nat West Bank, which is not possible under the current strategy.

- 13.8 Although the minimum criterion for the Council's lending list is set with reference to credit ratings, the Council will review a range of information in addition to credit ratings when determining credit worthiness. Within the strategy statement, the proposed limits for time and amounts are maximum limits, and the list of counterparties is the broadest range which can be used. However, operationally the limits applied and counterparties used are reviewed regularly and where necessary restricted in response to any concerns about creditworthiness to ensure security of investments remains the priority for the Council.



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Appendix 1

Summary of Treasury Management Strategy Statement

The CIPFA Treasury Management Code of Practice requires all local authorities to agree a Treasury Management Strategy Statement and Prudential Indicators annually in advance of the financial year. The key areas of the strategy are how much borrowing the Council needs to do, where should temporary surplus cash be invested and the Prudential Indicators.

Borrowing

The Council borrows to fund capital expenditure. As part of the financial planning process, it is determined how much capital expenditure should be funded through borrowing. The Council has an existing borrowing portfolio and the amount it is proposed to borrow is calculated by reference to capital expenditure to be funded through borrowing and the loans maturing in the year. The expected amount of borrowing is set out in tables 1a & 1b for General Fund and HRA respectively. The strategy also sets out the sources of borrowing the Council could use.

Investments

The Council invests temporary cash surpluses on a daily basis. When considering where to invest, the Council considers security first – will the money be returned, then liquidity – how quickly will it be returned and then finally yield – what rate of interest will be earned.

The Council is required to agree a framework within which officers can make investments. This consists of a lending list of institutions with monetary and time limits (set out in Annexes 4 & 5 of the strategy) and officers cannot lend the Council's monies to any institution not on this list. The second part of the framework is the setting of a minimum credit rating - this means that if any institution on the lending list falls below the minimum, then investments would cease and if possible monies would be withdrawn immediately.

Prudential Indicators

The Council is required to approve prudential indicators on an annual basis. There are two types – capital indicators and treasury management limits. They are shown throughout the report and summarised in Annex 2. The capital indicators are designed to indicate to members the impact of borrowing to fund capital and are set as best estimates. The treasury management limits are different – they are limits which cannot be breached and are designed to put in a level of control over treasury management activities. Corporate Committee receive quarterly monitoring reports on the indicators and limits and Council receive a mid year and year end report on them.

**DRAFT Treasury Management Strategy Statement
and Investment Strategy 2013/14 to 2015/16**

Contents

1. Background
2. CIPFA Treasury Management Code of Practice
3. Balance Sheet and Treasury Position
4. Borrowing Strategy
5. Investment Policy and Strategy
6. Use of Financial Instruments for the Management of Risks
7. Housing Revenue Account Self financing
8. Outlook for Interest Rates
9. Balanced Budget Requirement
10. MRP Statement
11. Other Issues

Annexes

1. Detail of Treasury Position
 - A: General Fund Pool
 - B: HRA Pool
2. Summary of Prudential Indicators
3. Arlingclose's Economic and Interest Rate Forecast
4. Specified Investments
5. Lending List of counterparties for investments

1. Background

- 1.1 The Chartered Institute of Public Finance and Accountancy's Code of Practice for Treasury Management in Public Services (the "CIPFA TM Code") and the Prudential Code require local authorities to determine the Treasury Management Strategy Statement (TMSS) and Prudential Indicators on an annual basis. The TMSS also incorporates the Investment Strategy as required under the Communities and Local Government (CLG) Department's Investment Guidance.
- 1.2 CIPFA has defined Treasury Management as:
"the management of the organisation's investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks."
- 1.3 The Council is responsible for its treasury decisions and activity. No treasury management activity is without risk. The successful identification, monitoring and control of risk are integral elements of treasury management activities and include Credit and Counterparty Risk, Liquidity Risk, Market or Interest Rate Risk, Refinancing Risk and Legal and Regulatory Risk.
- 1.4 The strategy takes into account the impact of the Council's proposed Revenue Budget and Capital Programme on the Balance Sheet position, the current and projected Treasury position, the Prudential Indicators and the outlook for interest rates.
- 1.5 The purpose of this report is to propose:
 - Treasury Management Strategy - Borrowing in Section 4, Investments in Section 5
 - Prudential Indicators – these are detailed throughout the report and summarised in Annex 2
 - MRP Statement – Section 10

2. CIPFA Treasury Management Code of Practice

- 2.1 Adoption of the CIPFA Treasury Management Code of Practice is one of the Prudential Indicators. The Council originally adopted the Code of Practice in May 2002. Revisions to the Code in 2009 and 2011 have been reflected in updated versions of all policies and procedures.

3. Balance Sheet and Treasury Position

- 3.1 The underlying need to borrow for capital purposes, as measured by the Capital Financing Requirement (CFR), together with Balances and Reserves, are the core drivers of Treasury Management activity. The estimates for each pool, based on the current proposed Revenue Budget and Capital Programmes, are:

Table 1a: Treasury Position – General Fund

	31/03/2013 Estimate £000	31/03/2014 Estimate £000	31/03/2015 Estimate £000	31/03/2016 Estimate £000
General Fund CFR	254,229	251,066	240,206	228,322
Less: Share of Existing External Borrowing & Other Long Term Liabilities	183,907	156,488	148,731	141,598
Internal Borrowing	70,322	65,322	60,322	55,322
Cumulative Net Borrowing Requirement	0	29,256	31,153	31,402

Table 1b: Treasury Position – HRA

	31/03/2013 Estimate £000	31/03/2014 Estimate £000	31/03/2015 Estimate £000	31/03/2016 Estimate £000
HRA CFR	271,714	271,714	271,714	271,714
Less: Share of Existing External Borrowing & Other Long Term Liabilities	264,482	204,043	195,272	188,016
Internal Borrowing	7,232	7,500	7,500	7,500
Cumulative Net Borrowing Requirement	0	60,171	68,942	76,198

- 3.2 The tables above show how the Council's capital requirement is funded currently and how it is expected to be funded in the coming years. Due to the differential between short and long term interest rates (discussed in more detail in section 4), the Council has maximised the amount of internal borrowing that can be done. As interest rates are not expected to rise over the next three years, it is anticipated that a significant level of internal borrowing will continue, with the only reduction expected reflecting the planned movement in reserves. The borrowing requirement at the bottom of each of the tables shows how much external borrowing will be required to fund maturing external borrowing.

- 3.3 Ensuring that gross external debt does not exceed the CFR over the medium term is a key indicator of prudence. There has been no difficulty meeting this requirement in 2012-13 to date, nor are there any difficulties envisaged for future years, as the levels of internal borrowing in tables 1a and 1b above demonstrate.
- 3.4 It is a requirement for the HRA CFR to remain with the limit of indebtedness or “debt cap” set by the Department of Communities and Local Government at the time of the implementation of self-financing. The table below shows the current expected level of the HRA CFR and the debt cap. Any decision by the Council to undertake new borrowing for housing will cause the future years’ debt predictions for the HRA debt pool to increase.

Table 2: HRA Debt Cap

	31/03/2013 Estimate £000	31/03/2014 Estimate £000	31/03/2015 Estimate £000	31/03/2016 Estimate £000
HRA CFR	271,714	271,714	271,714	271,714
HRA Debt cap	327,538	327,538	327,538	327,538
Headroom	55,824	55,824	55,824	55,824

- 3.5 Table 3 below shows proposed capital expenditure over the coming three financial years. It is a requirement of the Prudential Code to ensure that capital expenditure remains within sustainable limits and, in particular, to consider the impact on Council Tax and in the case of the HRA, housing rent levels.

Table 3: Capital Expenditure

	2012/13 Approved £000	2012/13 Projected Out-turn £000	2013/14 Estimate £000	2014/15 Estimate £000	2015/16 Estimate £000
General	44,350	38,400	47,811	21,079	16,619
HRA	43,514	42,000	34,202	55,818	47,319
Total	87,864	80,400	82,013	76,897	63,938

- 3.6 Capital expenditure is expected to be financed or funded as follows:

Table 4: Capital Financing

	2012/13 Approved £000	2012/13 Projected Out-turn £000	2013/14 Estimate £000	2014/15 Estimate £000	2015/16 Estimate £000
Capital receipts	11,130	9,609	16,073	10,128	7,600
Other grants & contributions	9,903	7,194	13,130	1,147	1,783
Government Grants	28,138	27,278	15,278	35,987	6,886
Reserves / Revenue contributions	33,219	30,941	28,657	28,588	47,669
Total Financing	82,390	75,022	73,138	75,850	63,938
Borrowing	5,474	5,379	8,875	1,047	0
Total	87,864	80,400	82,013	76,897	63,938

- 3.7 As an indicator of affordability the table below shows the incremental impact of capital investment decisions on Council Tax and Housing Rent levels. The incremental impact is calculated by comparing the total revenue budget requirement of the current approved capital programme with an equivalent calculation of the revenue budget requirement arising from the proposed capital programme.

Table 5: Incremental Impact of Capital Investment Decisions

	2012/13 Approved £	2012/13 Projected Out-turn £	2013/14 Estimate £	2014/15 Estimate £	2015/16 Estimate £
Increase in Band D Council Tax	1.31	0.72	8.77	1.41	0.36
Increase in Average Weekly Housing Rents	0.20	0.11	0.13	0.13	0.22

- 3.8 The ratio of financing costs to the Council's net revenue stream is an indicator of affordability and highlights the revenue implications of existing and proposed capital expenditure by identifying the proportion of the revenue budget required to meet borrowing costs. The ratio is based on costs net of investment income.

Table 6: Ratio of Financing Costs to Net Revenue Stream

	2012/13 Approved %	2012/13 Projected Out-turn %	2013/14 Estimate %	2014/15 Estimate %	2015/16 Estimate %
General Fund	2.70	2.71	2.62	2.51	2.45
HRA	15.35	13.87	12.94	12.72	11.88

4. Borrowing Strategy

- 4.1 A breakdown of the Council's current and expected external borrowing plus other long-term liabilities is shown in Annex 1. This is measured in a manner consistent for comparison with the Operational Boundary and Authorised Limit.
- 4.2 The Authorised Limit sets the maximum level of external borrowing on a gross basis (i.e. not net of investments) and is the statutory limit determined under Section 3(1) of the Local Government Act 2003 (referred to in the legislation as the Affordable Limit). The Prudential Indicator separately identifies borrowing from other long term liabilities such as finance leases. The Authorised Limit has been set on the estimate of the most likely, prudent but not worst case scenario with sufficient headroom over and above this to allow for unusual cash movements.

Table 7: Authorised Limit for External Debt

	2012/13 Approved £000	2012/13 Projected Actual £000	2013/14 Estimate £000	2014/15 Estimate £000	2015/16 Estimate £000
Borrowing	637,803	391,702	569,256	569,409	561,982
Other Long-term Liabilities	151,114	56,687	102,037	96,068	89,888
Total	788,917	448,389	671,293	665,477	651,870

- 4.3 The Operational Boundary links directly to the Council's estimates of the CFR and estimates of other cashflow requirements. This indicator is based on the same estimates as the Authorised Limit reflecting the most likely, prudent but not worst case scenario but without the additional headroom included within the Authorised Limit.

Table 8: Operational Boundary for External Debt

	2012/13 Approved £000	2012/13 Projected Actual £000	2013/14 Estimate £000	2014/15 Estimate £000	2015/16 Estimate £000
Borrowing	537,803	391,702	469,256	469,409	461,982
Other Long-term Liabilities	100,742	56,687	68,024	64,045	59,926
Total	638,545	448,389	537,280	533,454	521,908

- 4.4 The Director of Corporate Resources has delegated authority, within the total limit for any individual year, to effect movement between the separately agreed limits for borrowing and other long-term liabilities. Decisions will be based on the outcome of financial option appraisals and best value considerations. Any movement between these separate limits will be reported to the next meeting of Corporate Committee.
- 4.5 Treasury management and borrowing strategies in particular continue to be influenced not only by the absolute level of borrowing rates but also the relationship between short and long term interest rates. The interest rate forecast provided in Annex 3 indicates that an acute difference between short and longer term interest rates is expected to continue until 2016. This difference creates a “cost of carry” for any new longer term borrowing where the proceeds are temporarily held as investments because of the difference between what is paid on the borrowing and what is earned on the investment.
- 4.6 This “cost of carry” has been a feature of money markets since 2009-10 and by essentially lending its own surplus funds to itself (i.e. internal borrowing) the Council has minimised borrowing costs and reduced overall treasury risk by reducing the level of its external investment balances. As this position is expected to continue throughout 2013-14, there are no plans to replace this internal borrowing with external borrowing. However due to debt maturities in 2013-14, external borrowing of approximately £90m will be required.
- 4.7 The Council will adopt a flexible approach to this borrowing in consultation with its treasury management advisers, Arlingclose Ltd. The following issues will be considered prior to undertaking any external borrowing:
- Affordability;
 - Maturity profile of existing debt;
 - Interest rate and refinancing risk;
 - Borrowing source.

- 4.8 In conjunction with advice from its treasury management adviser, Arlingclose Ltd, the Council will keep under review the following borrowing options:
- PWLB loans
 - Borrowing from other local authorities
 - Borrowing from institutions such as the European Investment Bank and directly from Commercial Banks
 - Borrowing from the Money Markets
 - Capital markets (stock issues, commercial paper and bills)
 - Structured finance
 - Leasing
- 4.9 The “cost of carry” discussed above has resulted in an increased reliance upon shorter dated and variable rate borrowing. These types of borrowing inject volatility into the debt portfolio in terms of interest rate risk, however this is counterbalanced by its affordability and alignment of borrowing costs with investment returns. The Council’s exposure to shorter dated and variable rate borrowing is kept under regular review by reference to the difference between variable rate and longer term borrowing costs. A narrowing in the spread by 0.5% will result in a review of the borrowing strategy in conjunction with the Council’s treasury management advisers to determine whether the exposure to shorter dated and variable rates is maintained or altered.
- 4.10 The Council has £125m of loans which are LOBO loans (Lender’s Options Borrower’s Option) and all of them will be in their call period during 2013/14. A LOBO is called when the Lender exercises its right to amend the interest rate on the loan at which point the Borrower can accept the revised terms or reject them and repay the loan. LOBO loans present a potential refinancing risk to the Council since the decision to call a LOBO is entirely at the lender’s discretion. As LOBOs currently make up 35% of the total external debt portfolio, this is a significant risk. Any LOBO called will be discussed with the Council’s treasury advisers prior to the acceptance of any revised terms. The default position will be the repayment of the LOBO without penalty i.e. the revised terms will not be accepted.
- 4.11 The Council’s debt portfolio can be restructured by prematurely repaying loans and refinancing them on similar or different terms to achieve a reduction in risk and/or savings in interest costs. The lower interest rate environment and changes in the rules regarding the premature repayment of PWLB loans has adversely affected the scope to undertake meaningful debt restructuring, although occasional opportunities arise. The rationale for undertaking any debt rescheduling would be one or more of the following:
- Savings in risk adjusted interest costs
 - Rebalancing the interest rate structure of the debt portfolio
 - Align long term cash flow projections and debt levels
 - Changing the maturity profile of the debt portfolio.

As opportunities arise, they will be identified by Arlingclose and discussed with the Council's officers. Borrowing and rescheduling activity will be reported to Corporate Committee as part of the quarterly monitor reports.

- 4.12 The following Prudential Indicators allow the Council to manage the extent to which it is exposed to changes in interest rates. The upper limit for variable rate exposure has been set to ensure that the Council is not exposed to interest rate rises which could adversely impact on the revenue budget. The limit allows for the use of variable rate debt to offset exposure to changes in short-term rates on investments.
- 4.13 The Council's existing level of fixed interest rate exposure is 96.7% and variable rate exposure is 3.3%, however it is recommended that the limits in place for 2012/13 are maintained in future to retain flexibility.

Table 10: Fixed and Variable Interest Rate Exposure

	2012/13 Approved %	2012/13 Actual %	2013/14 Estimate %	2014/15 Estimate %	2015/16 Estimate %
Upper Limit for Fixed Interest Rate Exposure	100	96.7	100	100	100
Upper Limit for Variable Interest Rate Exposure	40	3.3	40	40	40

- 4.14 The Council is required to set limits on the percentage of the portfolio maturing in each of the periods set out in the table below. Limits in the following table are intended to control excessive exposures to volatility in interest rates when refinancing maturing debt. The limits have been set to reflect the current debt portfolio, and to allow enough flexibility to enable new borrowing to be taken for the optimum period. The limits apply to the combined General Fund and HRA debt pools.

Table 11: Maturity Structure of fixed rate borrowing

	Lower Limit %	Upper Limit %
under 12 months	0	40
12 months & within 2 years	0	35
2 years & within 5 years	0	35
5 years & within 10 years	0	35
10 years & within 20 years	0	35
20 years & within 30 years	0	35
30 years & within 40 years	0	35
40 years & within 50 years	0	50
50 years & above	0	50

5. Investment Policy and Strategy

- 5.1 Guidance from the Communities and Local Government Department (CLG) on Local Government Investments in England requires that an Annual Investment Strategy be set.
- 5.2 The Council's investment priorities are, in this order:
- security of the invested capital;
 - liquidity of the invested capital;
 - an optimum yield which is commensurate with security and liquidity.
- 5.3 Investments are categorised as 'Specified' or 'Non Specified' investments based on the criteria in the CLG Guidance. Instruments proposed for the Council's use within its investment strategy are contained in Annex 4 and the list of proposed counterparties is shown in Annex 5. In keeping with the strategy of maintaining low investment balances while internally borrowing, it is proposed only to use specified investments during 2013/14. The Director of Corporate Resources, under delegated powers, will undertake the most appropriate form of investments in keeping with the investment objectives, income and risk management requirements and Prudential Indicators. Investment activity will be reported to Corporate Committee as part of the quarterly reports.
- 5.4 Money markets remain in a volatile state, with little economic growth in most developed countries and ongoing concerns about the creditworthiness of eurozone countries. Given this backdrop, it is proposed to continue to limit the proposed counterparty list to UK institutions and Money Market Funds only.
- 5.5 With all investments the Council makes there is a risk of default, so the proposed list of investments is prepared to minimise this risk by being selective about the counterparties to be used. It is proposed to continue to apply a minimum long term credit rating of A-, which is described as "high credit quality" by the rating agencies.
- 5.6 In addition to the minimum long term credit rating, the Council has in the past set a minimum short term rating. However, it is the long term rating that is the ultimate driver of creditworthiness of financial institutions. In the capital markets, the perceived credit standing of an institution is referenced by its long-term rating which represents an agency's view of an institution's capacity to honour its financial obligations and its vulnerability to foreseeable events. The long-term rating is the principal driver of a bank's funding costs and perceived creditworthiness internationally, and hence market sentiment towards that institution. Given the prominence of long term ratings, it is proposed to remove the reference to short term ratings in the lending list criteria.

- 5.7 All counterparties on the list are subjected to continual monitoring, in conjunction with the Council's treasury management advisers, to ensure that they continue to meet the high standard set. The range of information used to determine creditworthiness is:
- Credit ratings and credit rating watches
 - Credit Default Swaps (where quoted)
 - Sovereign support mechanisms/potential support from a well-resourced parent institution
 - Share prices
 - Macro-economic indicators
 - Corporate developments, news and articles, market sentiment.
- 5.8 If the monitoring reveals any concern about an institution's creditworthiness, it will be removed from the lending list with immediate effect. In any period of significant stress in the markets, the default position is for investments to be made with the Debt Management Office – either in the Debt Management Account Deposit Facility (DMADF) or UK Treasury Bills. (The rates of interest from the DMADF are below equivalent money market rates, but the returns are an acceptable trade-off for the guarantee that the Council's capital is secure.)
- 5.9 The Council currently banks with Nat West, which is rated A-. Even if the credit rating of the Council's bank falls below the minimum of A-, it is proposed that the bank will continue to be used for short term liquidity arrangements (overnight and weekend investments) and business continuity arrangements.
- 5.10 In order to diversify the investment portfolio, investments will be placed with a range of approved investment counterparties. Maximum investment levels with each counterparty are set out in Annex 5 will ensure prudent diversification is achieved.
- 5.11 Money Market Funds (MMFs) will be utilised and whilst they provide good diversification the Council will also seek to diversify any exposure by utilising more than one MMF. The Council will also restrict its exposure to MMFs with lower levels of funds under management and will not exceed 0.5% of the net asset value of the MMF.
- 5.12 The Council is required to set an upper limit for principal sums invested for over 364 days, as required by the Prudential Code. This limit is to contain exposure to the possibility of loss that may arise as a result of the Council having to seek early repayment of the sums invested. Given the current interest rate environment, the Council will not make investments for more than 364 days.

6. Use of Financial Instruments for the Management of Risks

- 6.1 The CIPFA Treasury Management Code of Practice requires the Council to state if and how it will use financial instruments, such as derivatives. Currently, local authorities' legal power to use derivative instruments remains unclear. The General Power of Competence enshrined in the Localism Bill is not sufficiently explicit. Consequently, the Council does not intend to use derivatives. Should this position change, the Council may develop a detailed and robust risk management framework governing the use of derivatives, but such a change in strategy would require full Council approval.

7. Housing Revenue Account Self-financing

- 7.1 Central Government completed the reform of the Housing Revenue Account (HRA) Subsidy system at the end of 2011/12. Local authorities are required to recharge interest expenditure and income attributable to the HRA in accordance with Determinations issued by the Department for Communities and Local Government.
- 7.2 The Determinations do not set out a methodology for calculating the interest rate to use in each instance. The Council is therefore required to adopt a policy that will set out how interest charges attributable to the HRA will be determined. The CIPFA Treasury Management Code of Practice recommends that authorities present this policy in the annual Treasury Management Strategy Statement.
- 7.3 On 1st April 2012, the Council notionally split each of its existing long-term loans into General Fund and HRA pools. In the future, new long term loans borrowed will be assigned in to one pool or the other. Interest payable and other costs/income arising from long term loans (e.g. premiums and discounts on early redemption) will be charged/credited to the respective revenue account.
- 7.4 Differences between the value of the HRA loan pool and the HRA's underlying need to borrow (adjusted for HRA balance sheet resources available for investment) will result in a notional cash balance which may be positive or negative. This balance will be measured periodically and interest transferred between the General Fund and HRA at the net average rate earned by the Council on its portfolios of treasury investments and short term borrowing.

8. Outlook for Interest Rates

- 8.1 The interest rate forecast provided by the Council's treasury management adviser, Arlingclose Ltd, is attached at Annex 3. The Council will reappraise its strategy from time to time and, if needs be, realign it with evolving market conditions and expectations for future interest rates.

- 8.2 This interest rate forecast shows that UK base rate is forecast to remain at 0.5% until 2016. This would mean that short term rates remain significantly lower than long term rates throughout 2013/14 and beyond. As discussed in section 4, for this reason it is anticipated that cash balances will be kept at a minimum throughout the financial year as the “cost of carry” will be significant for any borrowing taken before capital expenditure is incurred.

9. Balanced Budget Requirement

- 9.1 The Council complies with the provisions of Section 32 of the Local Government Finance Act 1992 to set a balanced budget.

10. MRP Statement

- 10.1 The Local Authorities (Capital Finance and Accounting) (England) (Amendment) Regulations 2008 (SI 2008/414) place a duty on local authorities to make a prudent provision for debt redemption. Guidance on Minimum Revenue Provision has been issued by the Secretary of State and local authorities are required to “have regard” to such Guidance under section 21(1A) of the Local Government Act 2003.
- 10.2 The four MRP options available are:
Option 1: Regulatory Method
Option 2: CFR Method
Option 3: Asset Life Method
Option 4: Depreciation Method
- 10.3 MRP in 2013/14: The guidance states Options 1 and 2 may be used only for capital expenditure originally incurred when government support was available. Methods of making prudent provision for self financed expenditure include Options 3 and 4. There is no requirement to charge MRP in respect of HRA capital expenditure funded from borrowing.
- 10.4 It is a requirement for Council to approve the MRP statement before the start of the financial year. If it is ever proposed to vary the terms of the original MRP Statement during the year, a revised statement will be put to Council at that time.
- 10.5 It is proposed the Council will continue to apply Option 1 (charge 4% per annum over 25 years) in respect of capital expenditure originally incurred when government support was available and Option 3 (charge over the life of the asset) in respect of all other capital expenditure funded through borrowing. MRP in respect of leases and PFI (Private Finance Initiative) schemes brought onto the Balance Sheet under the IFRS (International Financial Reporting Standards) based Accounting Code of Practice will match the annual principal repayment for the associated deferred liability.

11. Other Issues

Monitoring & Reporting

- 11.1 Corporate Committee will receive quarterly reports on treasury management activity and performance. This will include monitoring of the prudential indicators.
- 11.2 It is a requirement of the Treasury Management Code of Practice that an outturn report on treasury activity is produced after the financial year end, no later than 30th September. This will be reported to Corporate Committee, shared with the Cabinet member for Finance & Carbon Reduction and then reported to full Council. Overview and Scrutiny Committee will be responsible for the scrutiny of treasury management activity and practices.
- 11.3 Officers monitor counterparties on a daily basis with advice from the Council's treasury management advisers to ensure that any creditworthiness concerns are addressed as soon as they arise. Senior management hold monthly meetings with the officers undertaking treasury management to monitor activity and to ensure all policies and procedures are being followed.

Training

- 11.4 CIPFA's Treasury Management Code of Practice requires the Director of Corporate Resources to ensure that all members tasked with treasury management responsibilities, including scrutiny of the treasury management function, receive appropriate training relevant to their needs and understand fully their roles and responsibilities.
- 11.5 Given the significant amounts of money involved, it is crucial members have the necessary knowledge to take treasury management decisions. Regular training sessions are arranged for members to keep their knowledge up to date.

Investment Consultants

- 11.6 The CLG's Guidance on local government investments recommends that the Investment Strategy should state:
"Whether and, if so, how the authority uses external contractors offering information, advice or assistance relating to investment and how the quality of any such service is controlled."
- 11.7 The Council has appointed Arlingclose Limited to provide information and advice about the types of investment the Council should undertake and the counterparties that should be used. Quarterly service review meetings take place to monitor the service and the appointment is formally reviewed in accordance with the Council's Contract Standing Orders.

ANNEX 1

Detail of Treasury PositionA: General Fund Pool

	31 Mar 13 Estimate £000	31 Mar 14 Estimate £000	31 Mar 15 Estimate £000	31 Mar 16 Estimate £000
Existing External Borrowing commitments:				
PWLB	69,947	61,844	57,520	53,944
Market loans	41,273	41,273	41,273	14,273
Local Authorities	16,000	0	0	0
Total External Borrowing	127,220	103,117	98,793	95,217
Long Term Liabilities	56,687	53,371	49,938	46,381
Total Gross External Debt	183,907	156,488	148,731	141,598
CFR	254,229	251,066	240,206	228,322
Internal Borrowing	70,322	65,322	60,322	55,322
Cumulative Borrowing requirement	0	29,256	31,153	31,402

B: HRA Pool

	31 Mar 13 Estimate £000	31 Mar 14 Estimate £000	31 Mar 15 Estimate £000	31 Mar 16 Estimate £000
Existing External Borrowing commitments:				
PWLB	136,755	120,316	111,545	104,289
Market loans	83,727	83,727	83,727	83,727
Local Authorities	44,000	0	0	0
Total External Borrowing	264,482	204,043	195,272	188,016
CFR	271,714	271,714	271,714	271,714
Internal Borrowing	7,232	7,500	7,500	7,500
Cumulative Borrowing requirement	0	60,171	68,942	76,198

ANNEX 2

Summary of Prudential Indicators

No.	Prudential Indicator	2013/14	2014/15	2015/16
CAPITAL INDICATORS				
1	Capital Expenditure	£000	£000	£000
	General Fund	47,811	21,079	16,619
	HRA	34,202	55,818	47,319
	TOTAL	82,013	76,897	63,938
2	Ratio of financing costs to net revenue stream	%	%	%
	General Fund	2.62	2.54	2.45
	HRA	12.94	12.72	11.88
3	Capital Financing Requirement	£000	£000	£000
	General Fund	251,066	240,206	228,322
	HRA	271,714	271,714	271,714
	TOTAL	522,780	511,920	500,036
4	Incremental impact of capital investment decisions	£	£	£
	Band D Council Tax	8.77	1.41	0.36
	Weekly Housing rents	0.13	0.13	0.22

No.	Prudential Indicator	2013/14	2014/15	2015/16			
TREASURY MANAGEMENT LIMITS							
5	Borrowing limits	£000	£000	£000			
	Authorised Limit	671,293	665,477	651,870			
	Operational Boundary	537,280	533,454	521,908			
6	HRA Debt Cap	£000	£000	£000			
	Headroom	55,824	55,824	55,824			
7	Upper limit – fixed rate exposure	100%	100%	100%			
	Upper limit – variable rate exposure	40%	40%	40%			
8	Maturity structure of borrowing (U: upper, L: lower)	L	U	L	U	L	U
	under 12 months	0%	40%	0%	40%	0%	40%
	12 months & within 2 yrs	0%	35%	0%	35%	0%	35%
	2yrs & within 5 yrs	0%	35%	0%	35%	0%	35%
	5 yrs & within 10 yrs	0%	35%	0%	35%	0%	35%
	10 yrs & within 20 yrs	0%	35%	0%	35%	0%	35%
	20 yrs & within 30 yrs	0%	35%	0%	35%	0%	35%
	30 yrs & within 40 yrs	0%	35%	0%	35%	0%	35%
	40 yrs & within 50 yrs	0%	50%	0%	50%	0%	50%
	50 yrs & above	0%	50%	0%	50%	0%	50%
9	Sums invested for more than 364 days	0	0	0			
10	Adoption of CIPFA Treasury Management Code of Practice	√	√	√			

ANNEX 3

Arlingclose's Economic and Interest Rate Forecast

	Mar 13	Jun 13	Sep 13	Dec 13	Mar 14	Jun 14	Sep 14	Dec 14	Mar 15	Jun 15	Sep 15	Dec 15
Base Rate	0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50
3 month LIBID	0.40	0.40	0.40	0.45	0.45	0.50	0.50	0.50	0.55	0.55	0.55	0.60
1 year LIBID	0.90	0.90	0.95	0.95	1.00	1.00	1.00	1.00	1.10	1.10	1.10	1.10
5 yr gilt	0.80	0.90	0.90	0.90	1.00	1.00	1.00	1.00	1.10	1.10	1.10	1.20
10 yr gilt	1.90	1.90	2.00	2.00	2.00	2.00	2.10	2.10	2.10	2.20	2.20	2.20
20 yr gilt	2.80	2.80	2.80	2.80	2.90	2.90	2.90	2.90	3.00	3.00	3.00	3.00
50 yr gilt	3.30	3.30	3.30	3.40	3.40	3.40	3.50	3.50	3.50	3.50	3.60	3.60

Specified Investments

It is proposed that the Council only uses specified investments during 2013/14. Specified Investments are those that meet the criteria in the CLG Guidance, i.e. the investment

- is sterling denominated.
- has a maximum maturity of 1 year.
- meets the “high credit quality” definition as determined by the Council or is made with the UK government or is made with a local authority in England, Wales, Scotland or Northern Ireland or a parish or community council.
- the making of which is not defined as capital expenditure under section 25(1)(d) in SI 2003 No 3146 (i.e. the investment is not loan capital or share capital in a body corporate).

“Specified” Investments identified for the Council’s use are:

- Deposits in the DMO’s Debt Management Account Deposit Facility
- Deposits with UK local authorities
- Deposits with UK banks and building societies
- AAA-rated Money Market Funds with a Constant Net Asset Value
- Treasury-Bills (T-Bills)
- Certificates of deposit with UK banks and building societies
- *Gilts: (bonds issued by the UK government)

** Investments in these instruments would only be undertaken on advice from the Council’s treasury management adviser.*

For credit rated counterparties, the minimum criteria will be the lowest equivalent long-term ratings assigned by Fitch, Moody’s and Standard & Poor’s (where assigned).

Long-term minimum: A- (Fitch); A3 (Moody’s); A- (S&P)

The Council will also take into account the range of information on investment counterparties detailed in section 5.7.

Specified investments will be made within the limits detailed in the table below. The limits stated will apply across the total portfolio operated by the Council and so incorporate both Council and Pension Fund specific investments.

The limits for the period of investment are the maximum for the categories of counterparties. Lower operational limits will apply if recommended following a review of creditworthiness.

Instrument	Country/ Domicile	Counterparty	Maximum Counterparty Limits £m	Maximum period of investment
Term Deposits	UK	Debt Management Account Deposit Facility (DMADF), Debt Management Office (DMO)	No limit	6 months
Gilts	UK	Debt Management Office (DMO)	No limit	364 days
Treasury Bills	UK	Debt Management Office (DMO)	No limit	6 months
Term Deposits/ Call Accounts	UK	Other UK Local Authorities	£30m per local authority	364 days
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	Counterparties rated at least A- Long Term (or equivalent)	£20m per bank or banking group	364 days
AAA-rated Money Market Funds	UK/Ireland/ Luxembourg domiciled	Constant Net Asset Value Money Market Funds (MMFs)	£20m per MMF*; Group limit £100m	Instant Access

* Limit per MMF to be no more than 0.5% of the Money Market Fund's total assets.

ANNEX 5

Lending List of counterparties for investments

This is the proposed list of counterparties which the Council can lend to, providing the counterparties meet the requirements set out in Annex 4 at the time of investment. The list will be kept under constant review and counterparties removed if the process described in 5.7 and 5.8 raises any concerns about their credit worthiness.

Instrument	Country/ Domicile	Counterparty	Maximum Counterparty Limit £m
Gilts, Treasury Bills, Term Deposits	UK	Debt Management Office (Term deposits with Debt Management Account Deposit Facility DMADF)	No limit
Term Deposits	UK	Other Local Authorities	£30m per local authority
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	Barclays Bank Plc	20
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	HSBC Bank Plc	20
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	Lloyds Banking Group including Lloyds TSB and Bank of Scotland	20
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	Nationwide Building Society	20
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	RBS Group including Nat West Bank and Royal Bank of Scotland	20
Term Deposits/ Call Accounts/ Certificates of Deposit	UK	Standard Chartered Bank	20
Money Market Funds	Ireland	BlackRock Institutional Sterling Liquidity Fund	20
Money Market Funds	Ireland	BlackRock Institutional Sterling Government Liquidity Fund	20
Money Market Funds	Ireland	Goldman Sachs Liquid Reserves Fund	20

Instrument	Country/ Domicile	Counterparty	Maximum Counterparty Limit £m
Money Market Funds	Ireland	Deutsche Managed Sterling Fund	20
Money Market Funds	Ireland	Invesco Short Term Investments Company Sterling Liquidity Portfolio	20
Money Market Funds	Luxembourg	J.P. Morgan Asset Management Sterling Liquidity Fund	20
Money Market Funds	Ireland	RBS Global Treasury Sterling Fund	20



PUBLICATION OF THE INTENTION TO MAKE A KEY DECISION¹

Notice of Key Decisions being made by your Council over the next 3 months

AND

NOTICE OF A PRIVATE MEETING OF A DECISION MAKING BODY²

Occasions over the next 3 months when the public may be excluded from meetings due to the likelihood that if members of the public were present during an item of business confidential or exempt information would be disclosed to them

¹ In accordance with Regulation 9(2) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012

² In accordance with Regulation 5(2) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012.

Publicity in connection with Key Decisions.

Where the Leader of the Council, the Cabinet, an individual Cabinet Member or a Cabinet Committee intend to make a key decision, the Council is required to give a minimum of 28 clear days public notice. This notice exceeds the statutory minimum by giving notice of key decisions which are intended to be taken over the next 3 months. New notices for the ensuing 3 month periods will be given at monthly intervals.

A Key Decision is defined in legislation as a executive decision, which is likely:

- to result in the local authority incurring expenditure which is, or the making of savings which are, significant having regard to the local authority's budget for the service or function to which the decision relates; or
- to be significant in terms of its effects on communities living or working in an area comprising two or more wards or electoral divisions in the area of the local authority.

The Cabinet

In Haringey, the Cabinet is made up of eight councillors including the Leader and is responsible for taking most of the Council's Key Decisions. Like government ministers in the cabinet, each councillor is in charge of a specific portfolio. The Cabinet currently comprises the following portfolio holders –

Leader of the Council (Chair) – Councillor Claire Kober
 Cabinet Member for Children –Councillor Ann Waters
 Cabinet Member for Finance and Carbon Reduction – Councillor Joe Goldberg
 Cabinet Member for Environment – Councillor Nilgun Canver
 Cabinet Member for Health and Adult Services – Councillor Bernice Vanier
 Cabinet Member for Economic Development and Social Inclusion – Councillor Alan Strickland
 Cabinet Member for Communities – Councillor Richard Watson
 Cabinet Member for Housing – Councillor John Bevan

- The Cabinet meets monthly to make key decisions as set out in this notice.
- The Cabinet makes decisions on how Council services are delivered.
- The Cabinet meets in public except when considering exempt or confidential information.

Procedures prior to private meetings

A decision making body may only hold a meeting in private if a minimum of 28 clear days public notice has been given.

This notice is available for inspection at Haringey Civic Centre High Road Wood Green N22 8LE and on the Council's website. This notice exceeds the statutory minimum period by giving notice of the occasions over the next 3 months when currently it is anticipated that the public and press may be excluded from all or part of a meeting due to the likelihood that if members of the public were present during an item of business confidential or exempt information would be disclosed to them.

A statement of reasons for the meeting to be held in private is given in each case with reference to the definitions of confidential and exempt information below. A further notice will be published at least 5 clear days before a private meeting and available for inspection at the Civic Centre and on the Council's website.

A 'private meeting' means a meeting or part of a meeting of a decision making body which is open to the public except to the extent that the public are excluded due to the confidential or exempt business to be transacted.

'Confidential information' means information provided to the Council by a Government Department on terms (however expressed) which forbid the disclosure of the information to the public or information the disclosure of which to the public is prohibited by or under any enactment of a court.

'Exempt information' comprises the descriptions of information specified in Paragraphs 1-7 of Part 1 of Schedule 12A to the Local Government Act 1972 as follows:

1. Information relating to any individual.
2. Information which is likely to reveal the identity of an individual.
3. Information relating to the financial or business affairs of any particular person (including the authority holding that information)
4. Information relating to any consultations or negotiations or contemplated consultations or negotiations in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or holders under, the authority.
5. Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.
6. Information which reveals that the authority proposes – (a) to give under any enactment a notice under or by virtue of which requirements are imposed on a person; or (b) to make an order or direction under any enactment.
7. Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.

Information falling within the above categories is exempt information if and so long as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

If you wish to make any representations as to why the proposed private meeting should be held in public please write to contact Xanthe Barker, Principal Committee Coordinator, River Park House 225 High Road, Wood Green, N22 8HQ, or email to xanthe.barker@haringey.gov.uk

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

1

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
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04-Jan-2013	Determination of Proposals Outlined in a Published Notice in Respect of Closure of a Junior School and the Enlargement of the Age Range of an Infant School	A notice was published on 2 November 2012 on the proposed closure of St Mary's CE Junior School and enlargement of the age range of St Mary's CE Infant School to take pupils aged 3 - 11. This proposal has been consulted on for a statutory period of six weeks, ending on the 14 December 2012	KEY	Cabinet Member Signing	Cabinet Member for Children and the Director of Children's Services	Report of the Director of Children's Services	Public
11-Jan-2013	Disabled Adaptations Framework Agreement Extension	To agree a further extension to the Disabled Adaptations Framework Agreement	KEY	Leader of the Council	Cabinet Member for Health and Adult Services and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Private Part or all of this report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Financial Planning 2013/14 - 2015/16	To consider the proposed budget package for 2013/14 and later years	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and the Director of Corporate Resources	Report of the Director of Corporate Resources	Public

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

2

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	New Football Stadium and Associated Development at Tottenham - Appropriation and Development Agreement	Further to Cabinet's decision of 20 March 2012 to: authorise appropriation, acquisition and disposal of land for purposes to facilitate the Northumberland Park Project and to seek approval to enter into a Development Agreement	KEY	Cabinet	Cabinet Member for Economic Development and Social Inclusion and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part or all of this report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Regeneration of Wider Lawrence Road Area	The report will set out the Council's aims and objectives for Lawrence Road, in line with the adopted Supplementary Planning Guidance for the area, provide details of a draft master plan for the site, including details on stakeholder consultation and engagement and seek approval 'in principle' for the Council to use its Compulsory Purchase Order powers to help bring forward and enable the proposals should it be necessary	KEY	Cabinet	Cabinet Member for Economic Regeneration and Social Inclusion and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part or all of the report will contain exempt information under paragraph 3 - Information relating to the business affairs of any person (including the authority holding that information)

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

3

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Haringey's Local Plan: Annual Monitoring Report 2011/12	The Annual Monitoring Report assesses the performance and effectiveness of Haringey's planning policies and objectives for the period 1 April 2011 – 31 March 2012	KEY	Cabinet	Cabinet Member for Economic Regeneration and Social Inclusion and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public
12-Feb-2013	Adoption of Haringey's Local Plan Strategic Policies (formerly Core Strategy)	The report seeks agreement from Cabinet to accept the recommendations put forward by the Planning Inspector to Haringey's Local Plan Strategic Policies and to recommend to Full Council that the Plan is adopted as they strategic spatial plan for the Borough	KEY	Cabinet	Cabinet Member for Economic Development and Social Inclusion and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Adoption of Haringey's Sustainable Design and Construction Supplementary Planning Document (SPD)	This SPD provides detailed guidance on sustainable design and construction policies that are set out in the Local Plan and the emerging Development Management Policies	KEY	Cabinet	Cabinet Member for Economic Development and Social Inclusion and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public
12-Feb-2013	Essential Service User and Business Permits Scheme	To ask Members to consider a number of issues arising from a review of Essential Service User and Business Permits scheme	KEY	Cabinet	Cabinet Member for the Environment and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public
12-Feb-2013	Improvements Works to Down Lane Recreation Ground	Cabinet will be asked to approve the Council entering into a contract for construction works to improve facilities at Down Lane Recreation Ground	KEY	Cabinet	Cabinet Member for the Environment and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part or all the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	North London Waste Plan - Non-Adoption and Revision	The NLWP was found to be un-lawful by a planning inspector after a public examination in June 2012. There is still a requirement and need for the planning policy document. In order to facilitate, the seven boroughs involved are to redraft and publicly consult again on the plan, a decision to agree the process of "non-adoption" has to be made	KEY	Cabinet	Cabinet Member for Regeneration and Social Inclusion and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public
12-Feb-2013	Options for the Future of Larkspur Close	The report notifies Members of the outcome of the viability assessment of disposing of Larkspur close to a registered provider, for continuing to operate as a sheltered housing scheme and recommending the preferred future use	KEY	Cabinet	Cabinet Member for Housing and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Public
12-Feb-2013	Homes for Haringey Business Plan	The Homes for Haringey Business Plan sets out the strategic aims, annual priorities and targets for Homes for Haringey in 2013/14	KEY	Cabinet	Cabinet Member for Housing and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Public

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

6

Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Housing Capital Programme Contract Framework	The report recommends the establishment of a new contract framework to provide consultancy support for the delivery of housing capital programme	KEY	Cabinet	Cabinet Member for Housing and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Private Part or all of this report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Out of Borough Estate - Waltham Cross	Report notifies Cabinet of the outcome of the Waltham Cross estate residents ballot and seeks formal approval to dispose of the Waltham Cross Estate to the B3Living Housing Association	KEY	Cabinet	Cabinet Member for Housing and the Director of Housing and Adult Services	Report of the Director of Adult and Housing Services	Private Part or all of this report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Temporary Accommodation Placements Policy - Mitigating the impact of the Benefits Cap on rent collection rates	The report seeks approval of a Temporary Accommodation Placements Policy that is designed to mitigate the impact of the Benefits Cap on the rent collection rates	KEY	Cabinet	Cabinet Member for Housing and Director of Adult and Housing Services	<p>This is a report of the Director of Adult and Housing Services. The supporting documents to be submitted are:</p> <ul style="list-style-type: none"> • Illustration of how the Benefits Cap will affect rent payments • Temporary Accommodation Placements Policy • Equalities Impact Assessment 	Report and supporting documents will be public
12-Feb-2013	Insurance Arrangements for Leasehold (RTB) Properties	To seek approval to award the contract for Buildings Insurance for Leasehold (RTB) properties to the successful tenderer	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and Director of Corporate Resources	Report of the Director of Corporate Resources	<p>Private</p> <p>Part or all the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)</p>

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Disposal of Council Properties	To approve the disposal of Council properties that are not considered suitable for long term retention	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part or all of the report will contain exempt information under paragraph 3 - Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	The Council's Corporate Insurance Arrangements	To seek approval to award contracts for Insurance Arrangements to successful tenderer(s)	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and the Director of Corporate Resources	Report of the Director of Corporate Resources	Private Part or all of the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Insurance London Consortium Legal Services Framework for Insurance Claims	To seek approval to use the Insurance London Consortium (ILC) Legal Services Framework for Insurance claims	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and the Director of Corporate Resources	Report of the Director of Corporate Resources	Private Part or all of the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Alexandra Primary School - Proposed Reinstatement of school from One Form of Entry to Two Form of Entry	To commission a contractor to construct the proposed re-instatement of Alexandra Primary school - adding a new build nursery and main entrance and office space, along with the refurbishment and remodelling of existing classroom spaces within the school to improve existing facilities and accommodate additional pupils	KEY	Cabinet	Cabinet Member for Children's Services and Director of Children's Services	Report of the Director of Children's Services	Private Part the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Procurement Report - Healthwatch Haringey	The report seeks the approval for the award of the contract for the provision of Healthwatch Haringey	KEY	Cabinet	Cabinet Member for Health and Adult Services and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Private Part of the report may contain exempt information, under Para 3: information relating to the financial or business affairs of any person (including the authority holding that information).

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Procurement Report: Independent NHS Complaints Advocacy Service	The report seeks the approval of Cabinet for the award the contract for the provision of the NHS Independent Complaints Advocacy Service for Haringey residents, procured by Hounslow Council on behalf of Haringey and 26 other London Council's	KEY	Cabinet	Cabinet Member for Health and Adult Services and Director of Adult and Housing Services	Report of the Director of Adult and Housing Services	Private Part of the report will contain exempt information, under Para 3 - Information relating to the financial or business affairs of any person (including the authority holding that information).
12-Feb-2013	Highways Contract Extension	To seek approval for the extension of the Highways Contract	KEY	Cabinet	Cabinet Member for the Environment and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part of the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Finsbury Park Track and Gym Tennis Court Leasing	Authorise the 'Agreement for Lease', subject to achievement of the milestones outlined in the report, and the Lease to the Finsbury Park Sports Partnership Limited for both the Finsbury Park Track & Gym area and Tennis Courts	KEY	Cabinet	Cabinet Member for Economic Regeneration and Social Inclusion and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Private Part of all of the report will contain exempt information under Para 3 - Information relating to the financial or business affairs of any person (including that of the local authority holding the information)
12-Feb-2013	Award of Contract for the Provision of Banking Services	To seek approval to award the contract to the successful tenderer for the provision Banking services	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and Director of Corporate Resources	Report of the Director of Corporate Resources	Part the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Rhodes Avenue Expansion Project: Re-Procurement of Phase 3	To obtain approval to expand the school to accommodate an increase from two to three form entry. The report will also address condition and sustainability issues	KEY	Cabinet	Cabinet Member for Children and Director of Children's Services.	Report of the Director of Children's Services	Private Part or all of the report will contain exempt information under paragraph 3 - Information relating to the business affairs of any person (including the Local Authority)

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
12-Feb-2013	Award of Framework for the Provision of Short Breaks and other Services for Children/Young People with Disabilities and their Families	To seek approval to award the Framework to successful Tenderers for the Provision of Short Breaks for Children and Young People with Disabilities	KEY	Cabinet	Cabinet Member for Children and the Director of Children's Services	Report of the Director of Children's Services	Private Part of the report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
12-Feb-2013	Procurement Report: Haringey Legal, Advice and Infrastructure Services	The report seeks the approval of Cabinet for a new one year contract for the provision of Legal & Advice Services in Haringey	KEY	Cabinet	Cabinet Member for Health and Adult Services and Director of Adult and Housing Services	This is a report of the Director of Adult and Housing Services	Part of the report will contain exempt information, under Para 3: information relating to the financial or business affairs of any person (including the authority holding that information).
12-Feb-2013	Procurement Report: Haringey Adult & Community Services Voluntary Sector Contracts	The report seeks the approval of Cabinet for a new one year contract for voluntary sector contracts held by Adult & Community Services	KEY	Cabinet	Cabinet Member for Health and Adult Services and Director of Adult and Housing Services	This is a report of the Director of Adult and Housing Services	Part of the report will contain exempt information, under Para 3: information relating to the financial or business affairs of any person (including the authority holding that information).
25-Feb-2013	Financial Planning 2013/14 and 2015/16	To agree the proposed budget package for 2013/14 and to agree the Council Tax for 2013/14	KEY	Full Council	Cabinet Member for Finance and Carbon Reduction and the Director of Corporate Resources	Report of the Director of Corporate Resources	Public

Haringey Council Forward Plan - 1 January 2013 to 31 March 2013

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
19-Mar-2013	Performance Assessment Third Quarter 2012/13	To provide a quarterly self-assessment of the Council's performance against Council priorities and other major responsibilities	KEY	Cabinet	Leader of the Council and Assistant Chief Executive	Report of the Assistant Chief Executive	Public
19-Mar-2013	Revenues, Benefits and Customer Services - Introduction of Social Fund	The Government is transferring responsibility for the Social Fund to Local Authority control from 01/04/13. This report asks the Cabinet to agree the recommended way this will be administered and reported	KEY	Cabinet	Cabinet Member for Finance and Carbon Reduction and the Director of Corporate Resources	Report of the Director of Corporate Resources	Public
19-Mar-2013	Myddleton Road Planning Policy Guidance Note	The Planning Policy Guidance note will clarify both the applicable policies and the Council's vision and strategy to help revive Myddleton Road local shopping centre. The guidance on design standards for the conversions of retail use in order to ensure there is good design quality that is sensitive to the character of Bowes Park Conservation Area	KEY	Cabinet	Cabinet Member for Economic Regeneration and Social Inclusion and Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public

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Date of Decision or period within which the decision is to be made	Matter in respect of which the decision is to be made	Short Description	Key or Non-Key Decision	Decision Maker	Cabinet Member and Lead Officer	List of Documents to be submitted to decision maker	Public or Private Meeting
19-Mar-2013	Establishment of New Health and Well Being Board - Function/Requit and Governance Arrangements	To agree the proposed Terms of Reference for the Health and Wellbeing Board	KEY	Cabinet	Cabinet Member for Health and Adult Service and Director of Public Health	Report of the Director of Adult and Housing Services	Public
19-Mar-2013	London Borough of Haringey Schools Catering Service	To approve a recommended option for the future delivery of schools meals	KEY	Cabinet	Cabinet Member for Children and the Director of Children's Services	Report of the Director of Children's Services	Private Part or all of this report will contain exempt information under Para 3 – Information relating to the financial or business affairs of any person (including the authority holding that information)
19-Mar-2013	Haringey's Community Infrastructure Levy - Draft Charging Schedule and Updated Community Infrastructure Plan	The draft CIL Charging Schedule sets out the rates of levy that will be applied to new developments in the Borough, once adopted in late 2013. The report is seeking approval to consult for four weeks before submission to the Planning Inspectorate.	KEY	Cabinet	Cabinet Member for Economic Regeneration and Social Inclusion and the Director of Place and Sustainability	Report of the Director of Place and Sustainability	Public

HARINGEY GOVERNANCE REVIEW**PROTOCOL COVERING OVERVIEW AND SCRUTINY COMMITTEE (OSC)****1 INTRODUCTION**

- 1.1 A key objective of Haringey's Governance Review 2010/11 was to ensure that the Overview and Scrutiny function can help the Council to make key decisions and develop policy in a useful and effective manner.
- 1.2 The Terms of Reference for the OSC is stated in the Council's Constitution (Part 3 Section C). The purpose of this protocol is to set out in detail the process by which the OSC will function.
- 1.3 This document will be subject to regular review along with other governance arrangements, to ensure that it remains updated in the light of experience.

2 AIMS OF THE OVERVIEW AND SCRUTINY COMMITTEE

- 2.1 To provide a framework within which the work of the Council can be scrutinised in a constructive way that adds value to the Council's performance.
- 2.2 To help the Council to achieve its objectives by identifying areas for achieving excellence, and to carry out a scrutiny which identifies what needs to be done to improve the situation.
- 2.3 Not to duplicate work carried out by the Council, but provide an objective view of what needs to be done to improve the quality and cost effectiveness of services provided to local people.

3 RESPONSIBILITIES

- 3.1 The OSC can scrutinise any matter which affects the authority's area or its residents' wellbeing.
- 3.2 The Local Government Act 2000, the Health and Social Care Act 2001, the Local Government & Public Involvement in Health Act 2007, and the Police and Justice Act 2006 give the OSC the power to:
 - (i) Review and scrutinise decisions made or actions taken in connection with the discharge of any of the functions of the Executive or Full Council;
 - (ii) Review and scrutinise local NHS-funded services, and to make recommendations to reduce health inequalities in the local community;
 - (iii) Review and scrutinise Crime Reduction Partnerships;¹
 - (iv) Make reports and recommendations on any issue affecting the authority's area, to the Full Council, its Committees or Sub-Committees, the Executive, or other appropriate external body;
 - (v) "Call In" for reconsideration a decision made by the Executive;
 - (vi) Require information from relevant partner authorities;²

¹ Section 19 of the Police and Justice Act 2006

² Section 121 of the Local Government and Public Involvement in Health Act 2007

- (vii) Give notice to a relevant partner authority that they must have regard to scrutiny reports and recommendations on any local improvement targets.³
- 3.3 Scrutiny recommendations shall be responded to by the appropriate body within 2 months of receiving the recommendations.⁴ Where a response is requested from NHS-funded bodies, the response shall be made within 28 days.⁵
- 3.4 The OSC shall be responsible for scrutinising the draft Treasury Management Strategy Statement (TMSS) annually before its adoption by full Council, in accordance with the Council's Constitution (Part 4 Section I).
- 3.5 The OSC shall respond to a Councillor Call for Action (CCfA) referral, which will be handled in accordance with the Council's Constitution (Part 4 Section G).

Scrutiny Review Panels

- 3.6 The Overview and Scrutiny Committee shall establish 4 standing Scrutiny Review Panels, to examine designated public services.
- 3.7 The Overview and Scrutiny Committee shall determine the terms of reference of each Scrutiny Review Panel. If there is any overlap between the business of the Panels, it is the responsibility of the Overview and Scrutiny Committee to resolve this issue.
- 3.8 Areas which are not covered by the 4 standing Scrutiny Review Panels shall be the responsibility of the main Overview and Scrutiny Committee.

4 MEMBERSHIP AND CHAIR

- 4.1 The Overview and Scrutiny Committee shall comprise 5 members, and be politically proportionate as far as possible. The Committee shall also comprise statutory education representatives, who shall have voting rights solely on education matters. The membership shall be agreed by the Group Leaders, Chief Executive and Monitoring Officer, and ratified each year at the Annual Council Meeting.
- 4.2 The chair of the OSC shall be a member of the majority group. The vice-chair shall be a member of the largest minority group. These appointments shall be ratified each year at the Annual Council Meeting.

Scrutiny Review Panels

- 4.3 The chair of each Scrutiny Review Panel shall be a member of the OSC, and shall be determined by the OSC at their first meeting.
- 4.4 It is intended that each Scrutiny Review Panel shall be comprised of between 3 and 7 members, and be politically proportionate as far as possible. It is intended that other than the chair, the other members are non-executive members who do not sit on the OSC.

³ Section 122(21C) of the Local Government and Public Involvement in Health Act

⁴ Ibid section 122 (21B)

⁵ Regulation 3 of Local Authority (Overview and Scrutiny Committees Health Scrutiny Functions) Regulations 2002

- 4.5 Each Scrutiny Review Panel shall be entitled to appoint up to three non-voting co-optees.
- 4.6 If there is a Children and Young People's Scrutiny Review Panel, the membership shall include the statutory education representatives of OSC. It is intended that the education representatives would also attend the Overview and Scrutiny Committee meetings where reports from a relevant Scrutiny Review Panel are considered.

5 MEETING FREQUENCY AND FORMAT

- 5.1 The intention is that OSC shall hold 6 scheduled meetings each year. One meeting, at the start of the civic year, shall agree the annual work programme of the OSC. One meeting, in January, shall consider the budget scrutiny reports from each Scrutiny Review Panel. The remaining meetings shall undertake the work programme and consider the reports from the Scrutiny Review Panels.
- 5.2 An extraordinary meeting of the OSC may be called in accordance with the Council's Constitution (Part 4 Section G).
- 5.3 The agenda and papers for OSC shall be circulated to all members and relevant partners at least 5 clear days before the meeting.
- 5.4 There shall be a standing item on OSC meeting agendas to receive feedback from Area Committees. Area Committee Chairs shall be able to attend OSC meetings, and ask questions.
- 5.5 Members of the Council may Call In a decision of the Executive, or any Key Decision made under delegated powers, within 5 working days of the decision being made. The full procedure is given in the Council's Constitution (Part 4 Section H).
- 5.6 Pre-decision scrutiny on forthcoming Cabinet decisions shall only be undertaken at scheduled OSC meetings, in adherence with the Council's Forward Plan.

Scrutiny Review Panels

- 5.7 It is intended that each Scrutiny Review Panel shall hold 4 scheduled meetings each year.
- 5.8 An extraordinary meeting of a Scrutiny Review Panel may be called in accordance with the Council's Constitution (Part 4 Section G).
- 5.9 The agenda and papers for Scrutiny Review Panels shall be circulated to all members and relevant partners at least 5 clear days before the meeting.

6 PROCESS FOR CABINET INVOLVEMENT

- 6.1 The OSC shall develop recommendations for arrangements to focus its resources and time available on effective scrutiny of the Cabinet, within the guidance of this protocol. It is not intended that this will include submitting written questions to Cabinet members, in advance of an OSC meeting. The recommended arrangements shall be jointly discussed with the Cabinet prior to the first meeting of OSC.
- 6.2 The Leader of the Council and Chief Executive shall be invited to OSC once a year, at the meeting when the Committee's work programme is set. This shall be an opportunity to jointly discuss the Council's priorities for the next year.
- 6.3 The Leader/ Cabinet Member attending an OSC or Scrutiny Review Panel meeting may be accompanied and assisted by any service officers they consider necessary. The Member may invite an officer attending to answer a question on their behalf.

7 THE OSC WORK PROGRAMME

- 7.1 The Council's Policy, Intelligence and Partnerships Unit shall coordinate the work programme of the OSC at the beginning of each civic year.
- 7.2 Any partner, member or service user may suggest an item for scrutiny. The OSC shall have regard to all such suggestions when they decide their work programme.
- 7.3 The OSC and Scrutiny Review Panels are able to request reports from the following areas to enable its scrutiny role, which shall be identified in the OSC's work programme:
 - (i) **Performance Reports;**
 - (ii) **One off reports** on matters of national or local interest or concern;
 - (iii) Issues arising out of **internal and external assessment;**
 - (iv) Issues on which the Cabinet or officers would like **the Committee's views or support;**
 - (v) Reports on **strategies and policies** under development;
 - (vi) **Progress reports** on implementing previous scrutiny recommendations accepted by the Cabinet or appropriate Executive body.
- 7.4 In deciding their work programme for the year, the OSC and Scrutiny Review Panels shall determine how partnership bodies shall be scrutinised within the boundaries of scheduled meetings.

8 BUDGET SCRUTINY REVIEW

- 8.1 The budget shall be scrutinised by each Scrutiny Review Panel, in their respective areas. Their reports shall go to the OSC for approval. The areas of the budget which are not covered by the Scrutiny Review Panels shall be considered by the main OSC.

8.2 A lead OSC member from the largest opposition group shall be responsible for the co-ordination of the Budget Scrutiny process and recommendations made by respective Scrutiny Review Panels relating to the budget.

8.3 To allow the OSC to scrutinise the budget in advance of it formally being set and convey those recommendations to the Cabinet, the following timescale is suggested:

- **Scrutiny Review Panel Meetings: May to November**

Each Scrutiny Review Panel shall undertake budget scrutiny in their respective areas, to be overseen by the lead member referred to in paragraph 9.2. Between May and November, this shall involve scrutinising the 3-year Medium Term Financial Plan approved at the budget-setting full Council meeting in February.

- **Cabinet report on the new 3-year Medium Term Financial Plan to members of the OSC: December**

The Cabinet shall release their report on the new 3-year Medium Term Financial Plan to members of the OSC, following their meeting to agree the proposals in December.

- **Scrutiny Review Panel Meetings: January**

Overseen by the lead member referred to in paragraph 9.2, each Scrutiny Review Panel shall hold a meeting following the release of the December Cabinet report on the new 3-year Medium Term Financial Plan. Each Panel shall consider the proposals in this report, for their respective areas, in addition to their budget scrutiny already carried out. The Scrutiny Review Panels may request that the Cabinet Member for Finance and Sustainability and/or Senior Officers attend these meetings to answer questions.

- **OSC Meeting: January**

Each Scrutiny Review Panel shall submit their final budget scrutiny report to the OSC meeting in January containing their recommendations/proposal in respect of the budget for ratification by the OSC.

- **Cabinet Meeting: February**

The recommendations from the Budget Scrutiny process, ratified by the OSC, shall be fed back to Cabinet. As part of the budget setting process, the Cabinet will clearly set out its response to the recommendations/proposals made by the OSC in relation to the budget.

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Haringey Council

Overview and Scrutiny Committee
Draft Forward Agenda

12 th March	Cabinet Questions – Cllr Strickland
	Delivery of Tottenham Regeneration Action plan (2013)
	Accommodation Strategy (pre-decision)
	Business Continuity and emergency planning
	Area committee report back
	Panel report back
	Project work
29 th April	Cabinet Questions – Cllr Goldberg
	Jobs for Haringey
	Review update – Haringey Guarantee
	Performance report
	JHOSC Terms of Reference
	Area committee report back
	Project work
	Panel report back

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MINUTES OF THE OVERVIEW AND SCRUTINY COMMITTEE
MONDAY, 22 OCTOBER 2012

Councillors Councillors Winskill (Vice-Chair), Adamou, McNamara, Newton and Rice (Chair)

Apologies Helen Kania and Mariatta Ezeji

Also Present: **Co-optees:** Yvonne Denny and Evan Reid

Councillors: Strickland

Officers: Marc Dorfman (Assistant Director - Planning, Regen & Economy), Margaret Gallagher (Performance Manager - Policy, Intelligence, Partnership), Eve Pelekanos (Head of Policy, Intelligence & Partnership), Kevin Bartle (Assistant Director – Finance), Joan Hancox (Head of Sustainable Transport), Daliah Barrett (Lead Officer – Licensing), Melanie Ponomarenko (Senior Policy Officer – Scrutiny) and Felicity Parker (Clerk)

**MINUTE
NO.**

SUBJECT/DECISION

OSCO146.	WEBCASTING The Chair welcomed everybody to the meeting and informed them that the meeting would be webcast.
OSCO147.	APOLOGIES FOR ABSENCE Apologies for absence were received from Helen Kania and Mariatta Ezeji. Apologies for lateness were received from Cllr McNamara.
OSCO148.	URGENT BUSINESS The Chair informed the Committee that Councillor McNamara would be tabling a Panel report from the Environment & Housing Scrutiny Panel. This would be taken under item 12.
OSCO149.	DECLARATIONS OF INTEREST There were no declarations of interest.
OSCO150.	DEPUTATIONS/PETITIONS/PRESENTATIONS/QUESTIONS None.
OSCO151.	CABINET MEMBER QUESTIONS - CABINET MEMBER FOR ECONOMIC DEVELOPMENT AND SOCIAL INCLUSION The Committee received a brief update of the Cabinet Member portfolio for Economic Development and Social Inclusion. NOTED:

MINUTES OF THE OVERVIEW AND SCRUTINY COMMITTEE
MONDAY, 22 OCTOBER 2012

Regeneration

- Tottenham Regeneration – the Council had set out 12 pledges to the community with key items of work by end of calendar year. Councillor Strickland placed on record his thanks to the officers involved with the works already carried out.
- A new team had been introduced to tackle grime and enforcement issues in Tottenham in the form of a neighbourhood action team, launched in March 2012.
- Activities had been arranged in Tottenham High Road to bring more people to Tottenham and restore confidence. A number of events had taken place – medley market, film festival (400 people attended), and there would be a music festival in December.
- The Bruce Grove Youth Centre was re-launched in April 2012 and had run a summer programme.
- The Jobs for Haringey scheme had been launched in May 2012 and had so far made good progress. 48 people had been helped into work through the brokerage scheme and 17 new jobs had been created locally. There had been 154 applications jobs to be created, with a target of 200. There had been good interest from local businesses, with officers working hard to challenge developers to create local jobs and recruit locally.
- A new enterprise centre was due to open at 639 High Rd - a temporary building (639b) had opened in July and was run by the London Youth Support Trust.
- Phase 1 of the Spurs development started on 24 September, starting with the supermarket development.
- The Authority was keen to make sure that the community were involved in regeneration. Working groups had been set up in Tottenham Green, Bruce Grove and West Green Road, and chaired by local Councillors. Successful meetings had been held over the summer period, supported by the Princes foundation to work up designs for Tottenham Green.
- Tottenham High Road - the Post Office was relocated in January, Job Centre Plus had now been reopened and both Aldi and Carpet Right had been granted planning permission to re-build.
- Work on removing the Tottenham Hale Gyratory was due to begin next month.
- Good progress had been made on the 12 pledges, and a progress report would be published at the end of the year.
- Town centres – Councillor Strickland was keen to get to learn more about the Borough's town centres in greater detail. There were challenges across the whole Borough. £100k Government funding had been received to support town centres and this money would be distributed as follows: £50k to West Green Road, and the remaining £50k would be split between the

MINUTES OF THE OVERVIEW AND SCRUTINY COMMITTEE
MONDAY, 22 OCTOBER 2012

remaining five town centres to support local initiatives and drive growth.

Planning

- Planning policies group had been set up, with cross party membership, to work through policies and ensure that these reflect political and local priorities.

2012

- There had been good activity across the borough during the Olympic period – arts programme at Bruce Castle Park, art exhibition at Bruce Castle museum, a one-day festival in Bruce Castle Park (with 7000 attendees) and Holland Heineken House at Alexandra Palace. However, it was acknowledged that Crouch End, Muswell Hill and Wood Green did not receive as many visitors as would have been liked.
- 200,000 people had lined the streets for the torch route, with 7000 people attending the evening celebration at Alexandra Palace.

Credit union

- There were worries about the number of residents struggling financially and rise of pay day loan shops across the borough. The Council had agreed to lend £750k (in 3 batches) to the Credit Union for them to work with local people.

Councillor Strickland and Marc Dorfman took questions from the Committee:

How would the money for West Green Road be spent, and how would this tie in with the development of Wards Corner

The Wards Corner development was situated at the east end of West Green Road - much needed regeneration in the area next to the tube station. The Lawrence Road development would be situated further along the road. These two developments would take some time to complete, so the Council were keen to give an initial boost to the road – a task and finish group would be set up to decide how to spend the £50k funding. It was important that there was a local responsibility for area – for example, if every trader repainted their shop frontage then the look and feel of the high street could be improved.

What was the position of the appeal against the 2011 Wards Corner decision

The 2011 appeal had been withdrawn by Grainger. Planning permission for the development had been granted in June 2012, and the s106 agreement signed, however Judicial Review had been lodged against this.

Graingers has an ongoing commitment to Wards Corner, and therefore were not expected to withdraw due to the delays.

What type of jobs had been created under the enterprise scheme

Efforts were made to ensure that there was a good mix of jobs, with a balance in all areas of the borough.

Town centres could be seen as ‘things of the past’ – what work was being done to deal with these difficulties, particularly in Tottenham High Street.

The Council had concerns over its town centres, however the shop vacancy rate was 6.4% compared to the London average of 10%. Muswell Hill was a

MINUTES OF THE OVERVIEW AND SCRUTINY COMMITTEE
MONDAY, 22 OCTOBER 2012

particular concern. Tottenham High Street was a particular problem due to the length of the street, and this needed to be looked at in closer detail.

In relation to housing above shops, it was vital that these types of properties were monitored. The HMO licensing scheme expansion would start to deal with issues in these properties.

Credit union – there were no premises in east of borough where they were most needed

This was one of the key challenges put to the Credit Unions, and it was found that the reason for this was that in order to keep costs down, fewer premises were rented. It was suggested to them that they needed to have a proactive ways of reaching residents, and the idea of pop-up shops / outreach in areas which currently had no access was discussed.

What work was being carried out to enable young people to build up work experience

Councillor Strickland agreed that this was a struggle for young people. The Council had been encouraging businesses to go into schools and talk to young people and to provide work experience places. The College of Haringey, Enfield and North East London (CHENEL) were working hard on apprenticeships and also established 10 pre-apprenticeship programmes.

There were some good examples in the Borough of schools building informal relationships with businesses. Gladesmore had linked with Moody's (city based finance company), who had arranged for pupils to have practice interviews with senior officers from the company.

What scope was there to carry out work to secondary roads once the 'town centres' had been improved.

Although the Council was keen to improve other roads, it was important to remember that the funding was additional Government funding and there was no budget for additional road improvements.

There was a perceived lack of active planning enforcement – how was this being addressed.

There had been a lot of progress and success – there had been more prosecutions made in the last year than over the past ten years. £18k of fines has been allocated where enforcement notices had not been complied with. The enforcement team were relatively successful in moving from simple warnings and negotiations and were quicker to enforce and prosecute.

New legislation in the Localism Act allowed the Council to pull down hoardings and graffiti and then charge building but there were still priorities on what issues should be dealt with. An area based enforcement update was due at the next Regulatory Committee, which would detail demand for enforcement and where issues had been addressed.

Outcomes from Muswell Hill walkabout – request for use of space behind library
 Councillor Strickland agreed to chase up this issue and provide a response to the Committee.

Were there any plans for mitigating the increase in business rates, considering the effect of re-evaluation at 2008 levels may have on rate payers

Throughout the recession a system had operated whereby rate payers could pay

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	<p>smaller rates. However, this was a 'two edged sword' for the Council, because smaller business rates meant less income.</p> <p>The Chair thanked Councillor Strickland and Marc Dorfman for attending the meeting.</p>
OSCO152.	<p>PERFORMANCE MONITORING REPORT</p> <p>The Committee received an update on progress against the Council's priorities and other major responsibilities in the first quarter of 2012/13. Up to date Quarter 2 information had been provided to Members as part of the supplementary pack.</p> <p>Margaret Gallagher outlined the highlights of the report:</p> <ul style="list-style-type: none"> • September had seen the creation of 17 jobs through the Jobs Fund • There had been an increase in the amount of people in Temporary Accommodation. The overall trend was decreasing, but the Haringey average was significantly higher than the London average • The number of children in care had reduced from 574 (March) to 542 (September) – a rate of 110 per 10,000 population, which was a reduction compared to last year but still higher than the national average. • The number of children under a child protection plan had increased and the Haringey rate was higher than its' statistical neighbours. • 57.9% of pupils had received 5 GCSEs at A-C grades, although this statistic was subject to validation. • Housing benefit and Council Tax claims had reduced significantly. • There had been an increase in violent crime. • An improvement had been made in the under 18 conception rate <p>Margaret Gallagher informed the Committee that she may be unable to answer any service specific questions, but would refer these to the relevant officers.</p> <p>The Committee requested information on the following:</p> <ul style="list-style-type: none"> • Education – what actions were being taken to bring the fixed term exclusion rate in line with the inner London rate? • What measures were being taken to ensure that the case loads of social workers were manageable and to ensure that there were enough social workers in the authority? • Target HY483 – average days from becoming looked after to placed for adoption for children adopted in period – was 'red' for Quarter 2. What plans were in place to reduce this and bring in line with the Government target? • Now that the Belmont Infant and Junior School expansion plans had been rejected, would there be an affect on school places and how would this be dealt with? <p>The Committee had a brief discussion on how this type of information was presented at meetings and suggested that it would be better to receive it at an earlier stages so that Members could scrutinise it in more details and address concerns in other ways. It was suggested that Panels may be better placed to consider areas within their remit and OSC to consider areas it specifically</p>

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	<p>covered.</p> <p>The Chair thanked Margaret Gallagher for attending.</p>
OSCO153.	<p>BUDGET MONITORING REPORT</p> <p>The Committee received an update from Kevin Bartle on the Budget Monitoring report. The report was considered at the 18 September 2012 Cabinet meeting, and detailed the forecast revenue and capital outturns for 2012/13 based on actual performance to 31 July 2012 and the proposed management actions and budget adjustments in response to monthly budget management during the financial year to date.</p> <p>The highlights of the report were outlined:</p> <ul style="list-style-type: none"> • A balanced position was projected on the revenue budget – this included some overspends and underspends. • Adults & Housing – projected £2m overspend (paragraph three of the report outlined actions taken to address the position). There was an amount held in central reserves which was earmarked for Adults and Housing, and there may be a request to release these reserves. • Place and Sustainability - projected £600k overspend • Children & Young People – projected balanced position at the end of the financial year, however due to the volatile nature of the budget, this was being closely monitored. • Chief Executive – projected £300k overspend. • There was a £1m saving in Treasury Management, based on the costs of borrowing. • All funding decisions were made annually by Full Council – this decision was based on funding needs and demographic pressures on the budget process. <p>The Committee requested:</p> <ul style="list-style-type: none"> • That the Chief Executive of Homes for Haringey (or his rep) attend the next meeting to explain the forecast overspend on the repairs budget; and, • Explanation of projected capital under spend on voids, which seemed to be at odds with the performance report on voids. <p>Councillor Rice reminded Committee Members that OSC were responsible for scrutinising the overall view of the whole budget, the Scrutiny Panels were responsible for scrutinising the detailed monitoring of services.</p>
OSCO154.	<p>REVIEW UPDATE - 20MPH SPEED LIMIT</p> <p>The Committee received an update from Joan Hancox on the review update of the 20mph speed limit. There was not a great deal to update the Committee – the main recommendation on the original report had not been agreed by Cabinet.</p> <p>NOTED:</p> <ul style="list-style-type: none"> • Road safety measures were still being implemented to reduce speed on residential roads.

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	<ul style="list-style-type: none"> The £3.2m was an estimated based on implementing the limit where it was not already in place – it was not for a wide range of physical measures. With regards to comparisons against other boroughs, Hackney and Islington already had significant areas (approximately 75%) of the borough under 20mph limits prior to a roll out. Haringey only had 35% of the roads covered by a 20mph limit, hence the higher cost to introduce it across the remaining 65%. The majority of accidents were on A and B roads and not residential roads. Therefore a 20mph limit of side roads would not address the majority of accident rates. <p>Councillor McNamara suggested that it might be appropriate for the Environment and Housing Scrutiny Panel to carry out further work on this issue.</p>
OSCO155.	<p>RESPONSES TO REVISED GAMBLING POLICY CONSULTATION PROCESS</p> <p>The Committee received the report on the responses to the revised Gambling Policy consultation process, introduced by Joan Hancox and Daliah Barrett.</p> <p>NOTED:</p> <ul style="list-style-type: none"> The Gambling Policy – Statement of Licensing Principles – was required to be reviewed every three years and needed to be in place by the end of January 2013. There were a number of restrictions as to what could be included within the policy. Problems with gambling and betting shops could not be legally incorporated. The Gambling Act 2005 did not allow for the community to get involved. Planning and demand could also not be taken into consideration. The fees charge for gambling was set by the Government – LBH fees were set 10% below maximum, further work would need to be undertaken to see if these could be increased. <p>The Committee discussed why this report was presented at OSC, when there could be no influence over the content. The Chair agreed to take advice from Legal Services to see why this is the proper route for the gambling policy.</p> <p>ACTION: Chair</p>
OSCO156.	<p>IMPACT OF WELFARE REFORM PROJECT SCOPE - OSC PROJECT DISCUSSION PAPER</p> <p>The Committee received the report as set out in the agenda papers. Melanie Ponomarenko outlined the following:</p> <ul style="list-style-type: none"> The paper was a discussion paper for the Committee to consider the impact of the welfare reforms project. Discussion had taken place with several departments- Council Tax Benefits, Community Housing, Economic Regeneration, Public Health – to see where scrutiny may be able to add value. Page 131 of the agenda pack outlined these areas. The Community Housing Service were currently looking at a policy about placing people unable to stay in the borough to other areas, due to the

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	<p>benefits cap. This policy was due to be reported at the Cabinet meeting in December, with a roll-out in-March-April 2013.</p> <ul style="list-style-type: none"> • The changes to Council Tax benefits would be agreed by full Council in January 2013. There were some elements that scrutiny could contribute to – categorising who is protected or enforcement and collection policies. • There were many changes happening. It would be useful to carry out a mapping exercise to identify any gaps in service, along with what services and advice were available. • It was proposed that the project team held 3-4 meetings to consider the welfare reform project. Timescales needed to be worked out, and these meetings should be in-sync with the significant milestones as outlined by the services. <p>The Committee discussed the report and made the following comments:</p> <ul style="list-style-type: none"> • This was a good piece of preliminary work to set out the most significant changes that the Council would have to deal with. These changes would have a major effect on people living in the borough and it was important that the policies were scrutinised before they were implemented. • A special OSC meeting was requested to meet before the December Cabinet meeting. • The Chair questioned whether OSC had the capacity to carry out detailed scrutiny work and suggested that it may be better to delegate this to a Scrutiny Panel. However it was noted that cross cutting areas and benefits are in the OSC's remit. • The Chair agreed to meet with Policy Officers to discuss a way forward. The Vice-Chair requested to attend the meeting also. <p style="text-align: right;">ACTION: Melanie Ponomarenko</p>
<p>OSCO157.</p>	<p>PANEL REPORT BACK</p> <p><u>Communities Scrutiny Panel</u></p> <p>Councillor Winskill introduced the summary paper and draft minutes of the Communities Scrutiny Panel as set out in the agenda papers. Councillor Winskill added that following the meeting, Councillor Rice had written to the Borough Commander with regards to tasers, requesting further assurances about their use. This was in response to a recent incident within the Borough where a young person was accidentally tasered. Councillor Rice added that he had received a response from the Chief Inspector to say that the same presentation given at the CSP meeting could be given at OSC, however engagement on a larger scale with all Councillors may be a better route. Following a discussion it was agreed that Councillor Rice would again write to the Borough Commander in his capacity of Chair of OSC to request that the taser rollout be suspended until the IPCC had reported back on their findings.</p> <p style="text-align: right;">ACTION: Chair</p> <p>The Committee noted and AGREED the recommendations as set out.</p> <p><u>Adults and Health Scrutiny Panel</u></p> <p>Councillor Adamou introduced the summary paper and draft minutes of the</p>

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	<p>Adults and Health Scrutiny Panel as set out in the agenda papers.</p> <p>The Committee noted and AGREED the recommendations as set out.</p> <p><u>Children and Young People's Scrutiny Panel</u></p> <p>Councillor Newton introduced the summary paper and draft minutes of the Children and Young People's Scrutiny Panel as set out in the agenda papers. Councillor Newton added that the Panel would be carrying out in-depth work on school places, following the decision not to expand Belmont Infant and Junior schools.</p> <p><u>Environment and Housing Scrutiny Panel</u></p> <p>Councillor McNamara introduced the summary paper and draft minutes of the Environment and Housing Scrutiny Panel as set out in the agenda papers.</p> <p>The Committee noted and AGREED the recommendations as set out.</p> <p>Councillor McNamara tabled a report - 'Interim report on waste and recycling services' – which outlined the impact on the rollout for phases 1&2 and covered wider issues of how to increase recycling rates. Councillor McNamara outlined the report and recommendations.</p> <p>The Committee noted and AGREED the recommendations as set out, subject to the following amendment to recommendation 1:</p> <p>Where the successful application of the new waste and recycling collection system is not immediately deliverable (e.g. no room for wheelie bins), a more bespoke system is developed which utilises the full range of available receptacles: bags; boxes; boxes with bags; 120, 240 & 360 litre bins; food waste bins; hessian sacks and community bins and is undertaken in consultation with ward councillors, local residents, residents associations and community groups. This should also include the option of returning to weekly collection of residual waste in exceptional circumstances where other options have been ruled out as unworkable.</p> <p>Councillor McNamara gave thanks to the officers involved in the report, particularly Martin Bradford. He also requested that the report be sent to Cabinet Members.</p>
OSCO158.	<p>AREA COMMITTEE REPORT BACK</p> <p>This item was not discussed.</p>
OSCO159.	<p>NEW ITEMS OF URGENT BUSINESS</p> <p>There were no new items of urgent business.</p>
OSCO160.	<p>MINUTES</p> <p>The minutes of the meeting held on Monday 23 July 2012 were approved as a</p>

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	<p>correct record.</p> <p>NOTED the response to the action under minute OSCO 137 –</p> <p>In response to whether an options appraisal had been carried out for the provision of front line services through post offices, the Leader confirmed that Lyn Garner – Director of Place and Sustainability – would be able to provide an update, following a meeting that she had attended. ACTION: Director of Place and Sustainability</p> <p>The Post Office’s national modernisation programme will be taking place over the next three years. There is a £1.34 billion investment package associated with the programme which will see up to 6,000 branches converted into new formats. There is no programme of branch closures, the modernisation plan is centred on two new models:</p> <ul style="list-style-type: none"> • The main model will see many larger branches refreshed with open plan counters, new technology such as assisted self-service, longer opening hours and special services for small businesses. • The local model will see Post Office services offered on the retail counter, rather than from a dedicated Post Office counter. The local model is more economical for sub postmasters to run and usually means services are available for much longer hours. <p>In Haringey there are currently 18 Post Offices. Five are ‘Crown’ Post Offices, run directly by Post Office Ltd with direct employees. The remainder ‘Independently Run’ Post Offices operated under a number of different models. The five ‘Crown’ Post Offices are located at: Wood Green; Crouch End; Harringay Green Lanes; Muswell Hill; Finsbury Park.</p> <p>The Post Office has contacted all branches in Haringey to offer three alternatives:</p> <ul style="list-style-type: none"> a) No change (branch stays as it currently is); b) Modernise (fully funded in return for a switch to a new variable contract with the Post Office); c) Leave the Post Office branch network (18 months’ severance payment, with the Post Office seeking to identify alternative provision). <p>The offer runs for three years. Council officers are in dialogue with colleagues from the Post Office about opportunities to join up service provision and deliver in partnership at locations where it makes sense to do so.</p>
OSCO161.	<p>FUTURE MEETINGS</p> <p>NOTED the dates of future meetings.</p>

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COUNCILLOR REG RICE

Chair

The meeting ended at

Councillor

Chair

SIGNED AT MEETING.....DAY

OF.....

CHAIR.....

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Councillors Councillors Rice, Adamou, Denny, McNamara, Newton and Winskill

Apologies Helen Kania

Also Present: Councillors Goldberg and Strickland

Julie Parker (Director of Corporate Resources), Stuart Young (Assistant Chief Executive), Kevin Bartle (Assistant Director, Finance), Bernie Ryan (Head of Legal), Lisa Redfern (Assistant Director, Adult Social Care, Community and Voluntary Sector), Barbara Nicholls (Head of Head of Commissioning & Strategy Planning), Melanie Ponomarenko (Scrutiny) and Felicity Parker (Clerk)

MINUTE NO.	SUBJECT/DECISION
OSCO162.	APOLOGIES FOR ABSENCE Apologies for absence were received from Helen Kania.
OSCO163.	URGENT BUSINESS There were no such items.
OSCO164.	DECLARATIONS OF INTEREST Councillor Adamou declared a personal interest in regards to any discussions around Children & Young People Directorate, as both of her daughters worked in that sector.
OSCO165.	DEPUTATIONS/PETITIONS/PRESENTATIONS/QUESTIONS None.
OSCO166.	DRAFT MEDIUM TERM FINANCIAL PLAN 2013-16 Councillor Rice welcomed Councillor Goldberg, Councillor Strickland and officers to the meeting. Councillor Goldberg gave a brief outline of the Medium Term Financial Plan. This was the end of a three year settlement. Budget pressures were higher than originally identified, which would lead to further cuts. By 2016, the authority would have cut the budget from £285m to £144m. The settlement for 2013/14 was not yet known. Pressures included a £12m benefit gap, a change to business rate funding and the forthcoming council tax reduction scheme. Further work was still to be done to reach a balanced budget – there was currently £1.2m to balance.

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There was a high risk around the Legal Services deliverability as this depended on an increased reduction in the number of Looked After Children, and a quicker turn around of cases.

Councillor Strickland outlined the areas of his Cabinet portfolio which were covered by the Capital Programme – Growth on the High Road, Tottenham Regeneration and Bruce Castle. He explained that the allocation for Bruce Castle was indicative, as this may be match funded – by the Lottery. Funding for Tottenham Regeneration had been match funded by the GLA.

Capital Expenditure

- Hornsey Town Hall

The planned capital expenditure was £5.3m. Mountview were awaiting the outcome of the Heritage Lottery Fund bid and it was expected that the project would move forward.

- Regeneration of Tottenham High Road

The high road was part of the whole regeneration programme. The scheme stretched along the whole of the High road, with projects along different parts of the road.

Yvonne Denny requested a breakdown of which parts of the High Road were included in the regeneration programme.

ACTION: Councillor Strickland

- IT Capital Programme

The vast bulk of the savings was due to the desktop renewal project coming to an end.

Councillor Winskill requested a detailed breakdown of where the £250k was due to be spent. Councillor Goldberg explained that there were no set plans for where this money was to be spent. Applications for spend were made to the IT Board throughout the financial year and each application was assessed on service needs, benefits, costs/savings to the service.

Councillor Winskill requested a briefing note outlining projects expected to be funded from the IT capital pot with indicative savings that these projects were expected to achieve.

ACTION: Councillor Goldberg

- Alexandra Palace

Alexandra Palace had reduced expenditure to enable investment in regeneration. The funding was in place to ensure that the Palace stayed open. The funding was not in the form of a loan, due to the financial position of the Palace, and an increased debt would make it harder to sell.

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Revenue savings

- A13 – Voluntary Sector Strategy

The savings would be achieved through the implementation of the Voluntary Sector Commissioning Framework; more focused service specifications, better value for money and a greater emphasis on collaboration between agencies. For example, looking at whether organisations that all offered advice could collaborate with each other to reduce costs.

The service was still in planning stages for the savings, and discussions were to take place with HAVCO. They were duty bound to complete Equalities Impact Assessments which would highlight any groups which would be disadvantaged by reduced funding. The service was also working with HAVCO to provide support to smaller and medium sized agencies who might need help with the bidding process.

- E2 – Communications

Councillor Winskill requested to know the £30k and £29k savings as a percentage of the overall budget.

ACTION: Assistant Director, Finance

- R15 – Legal

The Chair asked whether the fees for citizenship ceremonies had been increased.

Bernie Ryan explained that there was no proposed increase. The report showed an increase in volume as opposed to fees.

- E3 – Human Resources

Councillor Winskill commented on the major reduction in the past year, and asked why the savings were shown in the 2014/15 financial year rather than the next financial year.

Councillor Goldberg explained that the HR team previously operated at a ratio of 1 HR officer to 25 staff and this was now 1:49. This would increase further and would be included in next years decision.

Following the suggestion of shared services, Councillor Goldberg explained that the Authority was not currently in a position to think about sharing HR services. He added that most other authorities / organisations were also looking to sell their services as opposed to buying services.

With regards to HR services to schools, the Authority aims to encourage schools to continue using LBH HR services, and some academies have done so. However, some have their own business units with a HR function.

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HR services currently run surveys for the majority of London Boroughs. Councillor Winskill asked for details of these surveys.

ACTION: Assistant Chief Executive

- E7 – Communications

The proposed reduction would be from a way in which consultations were run.

Haringey People was currently being reviewed to consider how it generates income. At the same time there was a need to be aware that it was a local magazine and therefore any advertising would need to be useful for local residents.

Councillor Winskill requested to know the savings as a percentage of the overall budget.

ACTION: Assistant Director, Finance

- R1 – N/A Financing adjustment

This was an underspend on capital for the previous year – this was reflected in the £900k saving, as the money was to be returned to the Revenue account.

- R2 – ICT

There was unlikely to be a need for desktop renewal expenditure due to the completion of the renewal project. This was reflected in the £500k savings for 2012/13.

- R3 – ICT

Of the total budget of £11m, £4m was staff costs (75 members of staff). The rest of the budget was spent on IT licences and contracts – the cost of running the service would always be higher than the cost of staff for the service.

- R10 – Legal

This was a positive saving, as it related to a decrease in CYP casework relating to Looked After Children – there were now 100 less children in care. There was a need to be conscious of possible demand, as external case work would be more expensive than in-house.

- R14 – Revenues, Benefits and Customer Services

Councillor Winskill enquired as to whether the post could be deleted any earlier. Julie Parker explained that the post was being held until the Universal Credit had been implemented – all staffing implications would be assessed once the situation was known.

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- E4 – Secretariat

Councillor Newton asked what the impact would be of the rationalisation. Stuart Young explained that these posts were the Executive PA roles and the impact would be managed within the team.

Councillor Newton also asked about the overall manager to staff ratio / spans of control data. Stuart Young explained that some bench marking had been done on this and he would be happy to provide a short note.

ACTION: Assistant Chief Executive

- Councillor McNamara referred to the new Houses in Multiple Occupation Licensing Scheme and suggested that this could lead to additional revenue for the Authority and increase the workload for legal officers.

Councillor Goldberg agreed that the theory worked, however there had been cases of benefit and council tax fraud in the borough with successful convictions, however some of the debts had since had to be written off as the debt was not paid (people declared themselves bankrupt). Councillor Goldberg added that it was not the intention to lose lawyers for the sake of savings, as the use of contractors would be more expensive.

- Councillor Winskill requested details of regional, government and European funds that the authority applied for.

ACTION: Assistant Director, Finance

- The Committee requested more detail on expenditure for the following:
 - Growth on the High Road – Tottenham Regeneration (line 1)
 - Repair and maintenance of Council buildings (line 11)
 - Accommodation strategy (line 12)
 - Bruce Castle (line 16)
 - Hornsey Town Hall (line 18)
 - IT Capital Programme (line 60)
 - Alexandra Park & Palace – regeneration (line 61)
 - Alexandra Park & Palace – maintenance (line 62)

Councillor Goldberg advised that had the Committee requested detailed information for specific areas before the meeting, this information could have been prepared.

Councillor Rice thanked officers for attending.

Recommendations

Recommendation 1:

For OSC to undertake a review of property, split into four themes – Accommodation strategy; Heritage & Regeneration; Corporate Portfolio; and Community building.

Recommendation 2:

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	<p>During the meeting there was considerable discussion about the merits of Community Based Budgeting. OSC recommends that the Council accelerate work around the Community Based Budgeting with a view to improving integrated services as well as making savings for Council and partner agencies.</p> <p>Recommendation 3:</p> <p>The OSC understands the difficulties and challenges around Shared Services, however it feels that Shared Services can help to achieve savings and greater value for money. The OSC therefore recommends that the Council renew efforts into Shared Services.</p> <p>Recommendation 4:</p> <p>That detailed information on savings / expenditure figures over certain thresholds (to be prescribed) be provided in future reports.</p> <p>Recommendation 5:</p> <p>Councillor Winskill asked whether the outsourcing of Haringey People had been considered with the intention of making it into a profit centre, while at the same time maintaining the Council's editorial control.</p> <p>Note - It was requested that a short item be added to the January agenda to allow OSC Members to reflect on the budget scrutiny process and suggest ways in which this can be improved in future years.</p> <p style="text-align: right;">ACTION: Melanie Ponomarenko</p>
<p>OSCO167.</p>	<p>DRAFT PANEL RECOMMENDATIONS</p> <p style="text-align: center;"><u>Councillor Winskill in the Chair</u></p> <p>Councillor Rice declared that he was a Governor of John Loughborough School.</p> <p>Panel Chairs then each took the OSC through their draft recommendations. Suggested inclusions / amendments were as follows:</p> <p><u>Communities Scrutiny Panel</u></p> <p>P9 - Leisure and Culture – deletion of mobile library service</p> <p>The mobile library service was a valuable service, particularly to the housebound and those in residential homes. Councillor Newton felt that the recommendation should be worded more strongly to ensure that the mobile library service was maintained.</p> <p><u>Adults & Health Scrutiny Panel</u></p>

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	<p>No suggested additions or changes.</p> <p><u>Environment & Housing Scrutiny Panel</u></p> <p>P6 – Leisure and Culture – allotments increase in fees</p> <p>An Equalities Impact Assessment should be conducted on the proposed increase, if this has been done then it should be provided to the Environment & Housing Scrutiny Panel to enable them to consider it.</p> <p>Recommendation: the allotments revenue should be revenue neutral and any surplus invested in capital renewal projects. Any increase should only be considered with the full consultation and involvement of all relevant stakeholders.</p> <p>P8 – Leisure and Culture – amend Council policy to allow more events in Finsbury Park</p> <p>Greater clarity on any consultation should be provided.</p> <p>Recommendation: considerations should be given to whether enough is charged for the set up and break down of events.</p> <p>Recommendation: Any increase in revenue should be from an increase in the fees charged for holding events at Finsbury Park (and should fully reflect the short and long term cost to the borough of holding these events) and not an increase in the number of events held.</p> <p>Cap. Programme 56 – Loft conversions</p> <p>Recommendation: There should be an increase in the number of well designed loft conversions to help to alleviate housing pressures in the borough.</p> <p><u>Children & Young People Scrutiny Panel</u></p> <p>C9 – Prevention and early intervention – consolidation of arrangements for Youth Offending, Alternative Provision and Behavioural Support Services to achieve efficiencies</p> <p>More information on what is happening with Haringey Education Business Enterprise (HEBP).</p> <p>OSC noted that final recommendations from the Panel and from OSC would go to OSC on 22 January for approval and referral to Cabinet. All actions therefore need to be completed by early January to allow for Panels and OSC to make any further recommendations.</p>
OSCO168.	<p>NEW ITEMS OF URGENT BUSINESS</p> <p>There was no such business.</p>

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OSCO169.	FUTURE MEETINGS NOTED the dates of future meetings: Tuesday 22 January 2013 Monday 12 March 2013 Monday 29 April 2013
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COUNCILLOR REG RICE

Chair

The meeting ended at 21:25

Councillor

Chair